

Non-Abelian Symmetries and Disorder: A Broad Nonergodic Regime and Anomalous Thermalization

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Previous studies reveal a crucial effect of symmetries on the properties of a single particle moving in a disorder potential. More recently, a phenomenon of many-body localization (MBL) has been attracting much theoretical and experimental interest. MBL systems are characterized by the emergence of quasilocal integrals of motion and by the area-law entanglement entropy scaling of its eigenstates. In this paper, we investigate the effect of a non-Abelian $SU(2)$ symmetry on the dynamical properties of a disordered Heisenberg chain. While $SU(2)$ symmetry is inconsistent with conventional MBL, a new nonergodic regime is possible. In this regime, the eigenstates exhibit faster than area-law, but still strongly subthermal, scaling of the entanglement entropy. Using extensive exact diagonalization simulations, we establish that this nonergodic regime is indeed realized in the strongly disordered Heisenberg chains. We use the real-space renormalization group (RSRG) to construct approximate excited eigenstates by tree tensor networks and demonstrate the accuracy of this procedure for systems of sizes up to $L = 26$. As the effective disorder strength is decreased, a crossover to the thermalizing phase occurs. To establish the ultimate fate of the nonergodic regime in the thermodynamic limit, we develop a novel approach for describing many-body processes that are usually neglected by the RSRG. This approach is capable of describing systems of size $L \approx 2000$. We characterize the resonances that arise due to such processes, finding that they involve an ever-growing number of spins as the system size is increased. Crucially, the probability of finding resonances grows with the system's size. Even at strong disorder, we can identify a large length scale beyond which resonances proliferate. Presumably, this proliferation would eventually drive the system to a thermalizing phase. However, the extremely long thermalization timescales indicate that a broad nonergodic regime will be observable experimentally. Our study demonstrates that, similar to the case of single-particle localization, symmetries control dynamical properties of disordered, many-body systems. The approach introduced here provides a versatile tool for describing a broad range of disordered many-body systems, well beyond sizes accessible in previous studies.

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I. INTRODUCTION

The remarkable experimental advances of the past decade open a window into probing highly nonequilibrium dynamics of interacting quantum systems, using platforms such as ultracold atoms [1], trapped ions [2], and NV centers in diamond [3]. One fascinating outcome of this research direction is the discovery that strong quenched disorder

can suppress thermalization in isolated, many-body systems. This phenomenon, termed many-body localization (MBL), has attracted a lot of attention, both theoretically [4–20] and experimentally [3,21–27] (see Ref. [28] for a recent review). MBL systems constitute a novel dynamical phase of matter, in which quantum coherence is long-lived and largely protected [29,30]. The fact that such systems break ergodicity and, thus, are not described by conventional statistical mechanics opens many new opportunities for quantum dynamics and, in particular, enables nonequilibrium phases in periodically driven systems [17–20,31].

Much of the progress in describing MBL and related phenomena is driven by the realization that fully MBL systems of, e.g., spins or fermions on a lattice exhibit a

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new kind of robust emergent integrability [9–12,14]. Specifically, it is a complete set of quasilocal integrals of motion (LIOMs) that underlies the ergodicity breaking in MBL phases. The LIOM construction naturally explains the area-law entanglement of the MBL eigenstates [11,32], logarithmic entanglement growth in a quantum quench experiment [7,11–13,27], and a number of other dynamical properties of the MBL phase [33–35].

It quickly becomes clear that distinct MBL phases are possible. Much like in the theory of thermodynamic phase transitions, the symmetry of the system plays a central role. For example, systems with a discrete $\mathbb{Z}_2$ symmetry [36–38] can exhibit two distinct MBL phases: In one of them, the eigenstates spontaneously break the $\mathbb{Z}_2$ symmetry, and, in the other, the symmetry is preserved. Both phases can be described using the LIOM theory.

Disordered systems with *continuous non-Abelian symmetries*, which constitute a broad and experimentally relevant class, show a qualitatively different behavior. An example of such a system is a disordered, $SU(2)$ -symmetric spin chain. Crucially, a non-Abelian symmetry such as $SU(2)$ is inconsistent with all eigenstates obeying area-law entanglement entropy. Thus, conventional MBL with a complete set of LIOMs is forbidden by symmetry in this case [39,40]. Some integrals of motion must become nonlocal; accordingly, the entanglement entropy of a subsystem in a typical, highly excited eigenstate must scale at least logarithmically with that subsystem's size ℓ in 1D systems, $S_{\text{ent}}(\ell) \gtrsim c \log(\ell)$ (where c is a coefficient of the order of one).

The incompatibility of conventional MBL with an $SU(2)$ symmetry stems from the fact that symmetry imposes exact degeneracies in the spectrum, leading to resonances. The eigenstates of a system of size L come in multiplets with an *exact* degeneracy $2S_0 + 1$, where the total spin S_0 grows as $\sqrt{L}$ (see, e.g., Ref. [40]). When two subsystems of size L are connected, even very weakly, the eigenstates of the large system of size $2L$ are entangled superpositions of the $\sim \sqrt{L} \times \sqrt{L}$ original multiplet states; at the same time, by symmetry they must be the eigenstates of the total spin operator of the large system. This situation is qualitatively different from conventional MBL, where two subsystems, when connected, get entangled only weakly, according to the area law.

The fact that an $SU(2)$ symmetry enforces a minimum amount of entanglement in the eigenstates raises several fundamental questions. What is the nature of the excited eigenstates and the corresponding dynamical properties of disordered, $SU(2)$ -symmetric systems? One exciting possibility hypothesized in Refs. [40,41] is that at sufficiently strong disorder a new kind of dynamical, nonergodic phase may emerge—characterized by an entanglement entropy of excited eigenstates that is subthermal but scales faster than the area law [e.g., as $S_{\text{ent}}(\ell) \sim c \log(\ell)$]. Such a phase would display only a partial set of LIOMs, being distinct

from the conventional MBL phase. Another, equally intriguing possibility is that thermalization may be inevitably enforced by such symmetries in the thermodynamic limit [42]. If this is the case, it would be highly desirable to understand the microscopic processes that govern thermalization, as well as the corresponding time and length scales.

This topic has been attracting strong interest, and several works provide valuable complementary insights into the above questions. Reference [42] studies random $SU(2)_k$ anyonic chains, arguing that the breakdown of the strong-disorder, real-space renormalization group (SDRG) approach as $k \rightarrow \infty$ signals self-thermalization of $SU(2)$ -symmetric spin chains. Reference [41] computes the noise spectrum of random Heisenberg chains using an SDRG approach applied to excited states. Reference [40] introduces a toy model, in which eigenstates of an $SU(2)$ -symmetric spin chain are described by regular tree tensor networks with $S_{\text{ent}}(\ell) \gtrsim c \log(\ell)$ entanglement entropy scaling; they study the stability of such eigenstates under local perturbations of the Hamiltonian, finding indications of eventual slow delocalization. Furthermore, Refs. [43,44] and Ref. [45] consider spin dynamics in disordered Hubbard and $t - J$ models, respectively. They find that spins are not localized in the conventional sense even at strong disorder and numerically study spin transport, finding indications of subdiffusive behavior. While transport does not imply ergodicity, this behavior is another signal that, in the presence of non-Abelian symmetries, a localized phase cannot have plain-vanilla MBL phenomenology.

In this paper, we study $SU(2)$ -symmetric disordered spin chains, focusing on their spectral properties, and the properties of highly excited eigenstates. Our main results can be summarized as follows. First, via a combination of extensive exact-diagonalization studies of system sizes up to $L = 26$, we establish the existence of a nonergodic regime distinct from MBL. Second, to establish the ultimate fate of such systems, we develop a modified SDRG procedure for excited states. The key innovation is the inclusion of multispin processes. We analyze the number and structure of resonances, finding that they become relevant at large system sizes. This result signals that at $L \rightarrow \infty$ $SU(2)$ -symmetric systems thermalize but via unconventional, multispin processes.

The starting point of our analysis is the SDRG which is used to approximately construct excited eigenstates. This procedure, originally introduced to describe low-energy properties of random spin chains [46–48], has been recently applied to the *highly excited eigenstates* in a range of systems [9,36,41,42]. Applied to the random Heisenberg chains, the SDRG yields a caricature of an eigenstate in the form of an (irregular) tree tensor network, with the structure that depends on the disorder realization; at each step of this construction, two spins which are strongly interacting with each other (relative to their interactions with their other neighbors) are added to form some other total spin. This caricature is illustrated in Fig. 1. Naturally, such states are

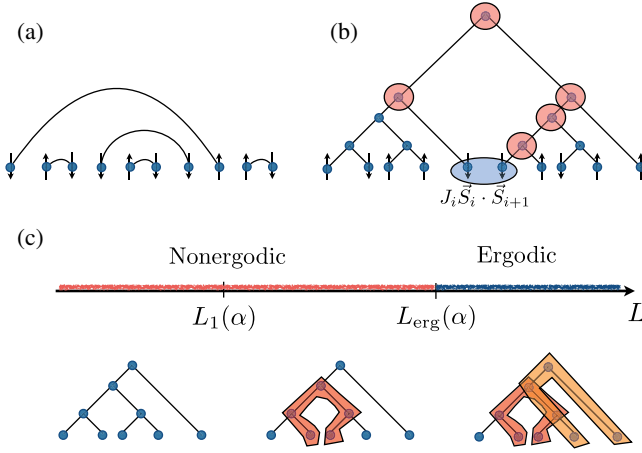


FIG. 1. (a) A cartoon of the ground state of a random anti-ferromagnetic Heisenberg chain. (b) The strong-disorder renormalization group aims to construct approximate eigenstates. It yields a tree state, characterized by its geometry and the choice of total block spins at each node (see the main text). The Heisenberg Hamiltonian, written in this basis, gives rise to processes which can change the spins along the “causal” path connecting two neighboring spins, to one of the block spins. (c) A schematic dynamical phase diagram of the random Heisenberg model. There are three regimes: (I) At short length scales $L < L_1(\alpha)$, the SDRG tree states are accurate approximations of the eigenstates; (II) at intermediate length scales $L_1(\alpha) < L < L_{\text{erg}}(\alpha)$, there are resonances but the system remains nonergodic; (III) above some large length scale $L > L_{\text{erg}}(\alpha)$, the resonances proliferate and the system becomes thermalizing (see Secs. IV B and IV C for a definition of these scales).

strongly nonergodic, although distinct from the conventional MBL eigenstates, e.g., in their entanglement properties (see below). So, if the SDRG procedure remains accurate, the system is in a novel nonergodic phase. However, typically, the SDRG procedure allows one only to test for “local” resonances involving a small number of nearby spins, and therefore it is an open question when and whether SDRG is reliable and gives a good approximation of the system’s eigenstates at large system sizes.

Below, we investigate how well the SDRG procedure approximates the system’s eigenstates. To that end, we first perform extensive numerical simulations of spectral statistics and eigenstates. In particular, we test the eigenstate thermalization hypothesis (ETH), which is believed to underlie thermalization in ergodic systems [49]. We find that, at strong disorder, there is a broad nonergodic regime in which the SDRG accurately captures the eigenstates. At weak disorder, above a certain length scale, we find evidence for thermalization and breakdown of the SDRG. We investigate how this length scale depends on the strength of the effective disorder, in the regime where it is smaller than the largest system size accessible numerically ($L = 26$). We note that previous work [44] focuses on exact diagonalization (ED) studies of transport in small systems, where, for a particular distribution of spin

exchange couplings, subdiffusive behavior is found and interpreted as a signature of ergodicity. Our conclusions differ from that work in that we focus on eigenstates, which instead provide a clear indication of ergodicity breaking.

To describe the behavior of large chains, far beyond those accessible via conventional numerical techniques, we develop a novel approach to describe nonlocal, multispin processes that are not captured by the conventional SDRG. For that purpose, we analyze the relevance of terms in the Hamiltonian that are responsible for the processes that are usually neglected in the SDRG. These terms mix different states in the SDRG, and if the mixing is sufficiently strong, they cannot be neglected and give rise to *resonances*. We study how the number of resonances grows with the system’s size and describe their properties, such as energy scales and the number of physical spins involved.

We find that at strong disorder resonances are absent in a surprisingly broad range of length scales, signaling a regime in which the SDRG describes eigenstates accurately. Eventually, in sufficiently large systems, resonances proliferate, the perturbation theory in the terms neglected by the SDRG does not converge, and the system thermalizes. We expect this process to give rise to full ergodicity, in an unconventional way that we describe. Thus, our conclusion favors the scenario of “non-Abelian-symmetry-protected thermalization.” Our work shows that this thermalization proceeds via long-range resonances that involve many spins; we extract the corresponding time-scales and find them to be extremely long at strong disorder. Thus, for all practical purposes, the strongly disordered system appears nonergodic, for reasonably short experimental observation times.

The rest of the paper is organized as follows: In Sec. II, we introduce the model and describe the SDRG procedure which is used to find approximate eigenstates. In Sec. III, we first use ED and a measure of participation ratios to check how well the approximate eigenstates given by the SDRG agree with the exact one. Then, we investigate the onset of the ETH and its breakdown at strong disorder using various measures (level statistics, statistics of matrix elements, and entanglement entropy). In Sec. IV, we develop our SDRG-based approach to the analysis of resonances. We show how the terms neglected in the SDRG give rise to resonances which eventually proliferate, leading to the thermalization of very large systems. Section V closes the paper with a recapitulation and suggestions for future work.

II. STRONG-DISORDER RENORMALIZATION GROUP AND TREE STATES

We start this section by introducing the model of a disordered Heisenberg chain in Sec. II A. We refresh the well-studied example of a random-field Heisenberg model which lacks the $SU(2)$ symmetry and compare it to the symmetric Heisenberg chain. We then review the construction of the approximate eigenstates based on the

SDRG [46–48] paradigm. Basic properties of tree states obtained by the SDRG are discussed (Sec. II B). Finally, in Sec. II C, we qualitatively describe our approach to probing the stability of tree states obtained by the SDRG. The detailed numerical studies are presented in the subsequent sections.

A. The model and preliminary remarks

The model we study is the disordered, Heisenberg spin-1/2 chain with the Hamiltonian

$$H = \sum_{i=1}^L J_i \mathbf{S}_i \cdot \mathbf{S}_{i+1}, \quad (1)$$

where $\mathbf{S}_i = (S_i^x, S_i^y, S_i^z)$ are the standard spin operators and the couplings J_i are independent random variables with a probability distribution $P(J)$ specified by two parameters, η and α . The parameter $0 < \eta < 1$ gives the fraction of antiferromagnetic (positive) couplings in the system. Throughout this work, we assume $\eta = 0.5$. We do not expect the properties of highly excited eigenstates in the middle of the many-body band to exhibit a significant dependence on the choice of η . Note that the ground state properties do not depend strongly on the value of η (except for the extremal points $\eta = 0, 1$ —see Ref. [50]).

The parameter $\alpha > 0$ controls the distribution of $|J|$. We assume that the probability density function of this distribution has a power-law form with a cutoff at $|J| = 1$:

$$P(|J|) = \frac{\alpha \Theta(1 - |J|)}{|J|^{1-\alpha}}, \quad (2)$$

where $\Theta(x)$ stands for the Heaviside function. This distribution of couplings emerges naturally in a wide range of low temperatures, as shown in the seminal papers [46,47]. In that context, under the assumptions of what would now be called the ETH, it can explain the anomalous exponent of the specific heat observed in early experiments [51].

The exponent α effectively controls the strength of disorder, with smaller α corresponding to stronger disorder. Indeed, for the distribution (2), the ratio of two neighboring couplings in the system has a typical value

$$\left. \frac{\max(|J_1|, |J_2|)}{\min(|J_1|, |J_2|)} \right|_{\text{typ}} \equiv \exp(\langle |\ln |J_1|/|J_2|| \rangle) = e^{1/\alpha}. \quad (3)$$

This ratio increases exponentially when $\alpha \rightarrow 0$. Therefore, at small α it becomes more and more likely to find exchange constants in the system that are *much* larger than the two neighboring ones. This ratio is exactly the condition that enables SDRG, as we discuss below.

Another quantity of interest is the smallest coupling J (in absolute value) in the whole system, representing the “weakest link.” We find that

$$\min_i J_i \sim \alpha^{-1} \Gamma(1/\alpha) L^{-1/\alpha}. \quad (4)$$

For $\alpha = 0.3$ and $L \simeq 20$, this coupling can be as small as $10^{-3} \langle J \rangle$ (here, $\langle J \rangle$ is the mean value of J_i).

Throughout the paper, it is helpful to contrast our findings with the properties of the random-field XXZ model, which is studied extensively in the literature (see Refs. [28,52,53] for recent reviews):

$$H_{\text{XXZ}} = t \sum_i (S_i^x S_{i+1}^x + S_i^y S_{i+1}^y) + U \sum_i S_i^z S_{i+1}^z + \sum_i h_i S_i^z. \quad (5)$$

The model (5) can be mapped, via Jordan-Wigner transform, onto an interacting fermionic problem with t representing the hopping amplitude, U the nearest-neighbor interaction, and h_i the random on-site potential with a variance that we denote by W . In the following, for concreteness, we assume that $t \sim U$, such that the disorder strength is described by a single dimensionless parameter $L_W = W/t$. Then, the XXZ model is known to have a diffusive-subdiffusive dynamical transition [54] at $L_W \simeq 0.55$ and an MBL-thermal transition at $L_W \simeq 3.5$ [15].

Note that, in the limit of strong disorder $W \gg t$, the parameter L_W can be interpreted as a typical distance between (rare) pairs of “resonant” sites in the model that happen to have close enough values of the magnetic field to enable resonant spin exchange (or, equivalently, hopping in the fermionic model). A resonance between spins 1 and 2 appears, e.g., if $|h_1 - h_2| \lesssim t$. Starting at a very large disorder, these resonant sites are typically well separated by distances of $O(L_W)$, and one can show that they will not mix at any order of perturbation theory [55,56]. By mixing, we mean that the resonant pairs can exchange energy and become strongly entangled in the eigenstates. The fact that resonances are rare and isolated at $L_W \geq 3.5$ is intimately related to the low, area-law entanglement scaling of eigenstates and the existence of a complete set of LIOMs [9–12,14]. As the disorder strength is decreased, eventually the resonant pairs of spins become mixed, forming a connected network; then, LIOMs are destroyed, becoming nonlocal, and the system exits the MBL phase.

Below, we find that $SU(2)$ -symmetric spin chains exhibit a qualitatively different delocalization scenario. First, in Sec. IV, we compute the system size $L_1(\alpha)$ at which the system typically has just one resonance. We find the scaling $L_1(\alpha) \propto \alpha^{-0.4}$, such that $L_1(\alpha)$ diverges at strong disorder. An MBL-type scenario, if realized, would mean that at sufficiently small α , when $L_1(\alpha)$ is very large, resonances remain isolated and the system is in a non-ergodic phase. We show below that, in contrast to this naive expectation, multispin resonances, which involve changing spins at different levels of the RG (see Fig. 1), play an important role. In contrast to the MBL case, the density of resonances grows nonlinearly with L , which can be

attributed to the nontrivial entanglement patterns of the SDRG tree states. As the RG proceeds, the probability of composite spins being involved in such resonances grows, eventually leading to the restoration of ergodicity. The length scale that controls it, denoted by $L_{\text{erg}}(\alpha) > L_1(\alpha)$, is estimated below. While at weak disorder $L_{\text{erg}}(\alpha)$ can be extracted from ED studies using standard indicators of ergodicity (such as the ETH tests for matrix elements), at stronger disorder it is well beyond the system sizes reachable by ED, and we estimate it by performing a detailed analysis of resonances (see Sec. IV).

B. SDRG and excited eigenstates of the Heisenberg chain

In this subsection, we qualitatively describe the SDRG approach to the disordered Heisenberg chains and discuss the properties of tree tensor-network states that it yields. A detailed description of the method is provided in the Appendixes. We emphasize that such states differ from the conventional MBL ones in two crucial aspects: First, they have a parametrically larger entanglement entropy, and, second, one cannot define a complete set of LIOMs for them.

A very large typical ratio of two neighboring couplings found for small α [Eq. (3)] suggests that the properties of the system can be described using the SDRG framework. The idea of SDRG is to identify a local “grain” in the system that is strongly coupled inside but, due to strong disorder, only weakly coupled to the rest of the system. The state of the grain is then approximated by one of the eigenstates of its Hamiltonian, with the rest of the system decoupled. If one is looking for the ground state, the eigenstate of the grain is chosen to be its ground state. Alternatively, if one aims to construct a random highly excited eigenstate that is effectively at an infinite temperature, as we do in this paper, some eigenstate of the grain is randomly chosen. Then, the effective Hamiltonian of the system in which the grain is in the chosen eigenstate (or, more generally, a multiplet of states if symmetries dictate degeneracies in the spectrum of the grain’s Hamiltonian) is calculated by the perturbation theory in the grain-system coupling.

One can continue this procedure, assuming that the disorder in the effective Hamiltonian remains strong. Such is indeed the case for, e.g., ground states of random antiferromagnetic (AFM) Heisenberg chains [48]. Then, a repeated application of the SDRG rules results in an approximate wave function of the whole system, obtained by “patching” together the wave functions of the grains.

A detailed discussion of the SDRG rules for excited states of the Heisenberg chain can be found in Ref. [41], and we provide it in Appendix A. Qualitatively, for this system a grain is a pair of neighboring spins coupled by a strong bond; its eigenstates [which come in $SU(2)$ multiplets] are labeled by the total spin of the grain. The SDRG

procedure replaces such spin pairs by effective (typically larger) spins; i.e., it assigns some total spin to larger and larger blocks of contiguous spins in the system. The resulting approximation for an eigenstate (more precisely, for a degenerate symmetry-enforced multiplet [57]) is a kind of a tree tensor network, illustrated in Fig. 2. The nodes of the tree represent the block spins identified in the SDRG process. The structure of the tree reflects the order in which the elementary spins of the system should be added up to give an (approximate) eigenstate.

The fusion of spins in the course of the SDRG must be supplemented by a perturbative account of the interaction of merging spins with the rest of the system. In the present setting of the infinite-temperature SDRG, where spins typically fuse into nonsinglet states, a first-order perturbation theory (that simply amounts to the projection of the fusing spins onto the direction of the total spin) suffices in most cases. The resulting renormalization of couplings is weaker than the one that occurs in the low-temperature SDRG for AFM spin chains, where the spins always fuse into singlets, and, therefore, a second-order perturbative treatment is required to find new renormalized couplings (see Appendix A). Still, the distribution of couplings developed in the course of SDRG turns out to be broad (see Ref. [41] and below).

Within the SDRG approximation, the values of the block spins (the numbers associated to the nodes of the tree in Fig. 2) label the eigenstates of the Hamiltonian and bear a similarity to the LIOMs of the conventional MBL phase. An eigenstate of the Hamiltonian is also an eigenstate of a sequence of these operators, just as an eigenstate of an MBL Hamiltonian is simultaneously an eigenstate of

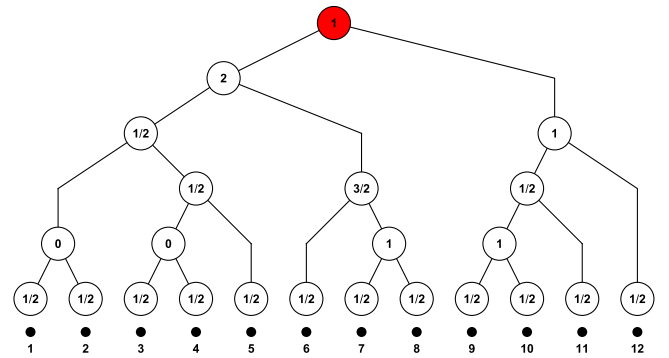


FIG. 2. A multiplet of eigenstates predicted by the SDRG for a system of 12 spins $1/2$. The leaves of the tree represent elementary spins in the system. The tree describes the way the elementary spins are fused into larger block spins in the course of the SDRG. The numbers in the nodes indicate the resulting spins of the blocks. The value in the top node (marked red) is the total spin S_0 of the system ($S_0 = 1$ in the present example). S_0 is an exact integral of motion. $(2S_0 + 1)$ different states in the multiplet can be distinguished by additionally specifying the projection of the spin in the top node to the z axis.

each LIOM. However, there are two major differences between these quantum numbers and LIOMs.

First, in the MBL phase, the eigenstates of H are at the same time eigenstates of a *fixed set* of LIOMs. Total spins of the blocks in our problem would form conserved operators if different eigenstates are represented by *geometrically identical* trees, which differ only in the values of the block spins. In reality, the order in which the spins are merged in the course of the SDRG depends not only on the particular disorder realization, but also on the eigenstate of the grain, which is randomly picked at any given step of the SDRG (see Appendix A for details). Thus, the values of the block spins, in general, cannot be promoted from labels of a particular eigenstate to operators acting in the full Hilbert space. The structure of larger blocks depends on the history of choosing total spins at the earlier steps of the SDRG.

Second, LIOMs in an MBL system are quasilocal, exponentially localized in space operators [10–12]. In contrast, the block spins of the strongly disordered Heisenberg chain have a hierarchical structure. While some of them (living near the bottom of the tree) can be expressed in terms of an $O(1)$ number of the original spin operators S_i , the other ones, found at the higher levels of the tree, are highly nonlocal in terms of the original spins. Thus, $SU(2)$ symmetry forces some integrals of motion to become nonlocal. Therefore, the SDRG (in the regime of its validity) describes a nonergodic phase of a new kind, with a partial rather than complete set of LIOMs. Our goal is to investigate the stability of this putative phase.

The novel nonergodic character of tree eigenstates manifests itself in the scaling of entanglement entropy. For simplicity, we consider the entanglement entropy of an eigenstate with respect to a half-chain cut:

$$S_{\text{ent}}(L/2) = -\text{Tr}(\rho_{L/2} \log_2 \rho_{L/2}), \quad (6)$$

where $\rho_{L/2}$ is the reduced density matrix of half the chain in the chosen eigenstate and the trace is taken over the degrees of freedom (d.o.f.) in the other half of the system. A bound for the entanglement entropy depends on the tree structure describing a given state, in particular, on the tree depth d (the number of levels between the very top node of the tree and the original physical spins). We find, via numerical simulations, that typical states produced by the SDRG procedure have a logarithmic depth $d \propto \ln L$. It is then possible to show (see Appendix B) that the entanglement entropy of a single typical [58] tree satisfies

$$c_1 \log_2 L \lesssim S_{\text{ent}}(L/2) < c_2 \log_2^2 L, \quad (7)$$

where c_1 and c_2 are numerical constants of the order of unity that depend on the statistical properties of the tree. Thus, the entanglement of the tree states scales faster than the area law found in MBL but significantly slower

compared to the thermal entanglement of an infinite-temperature state, $S_{\text{th}}(L/2) \approx (L/2)$ (measured in bits).

The upper bound on the entanglement entropy in Eq. (7) can also be generalized (see Appendix B) to the case when the state in question is not a single tree state but rather a linear combination of n_T tree states:

$$S_{\text{ent}}(L/2) < c_2 \log_2^2 L + \log_2 n_T. \quad (8)$$

Although this bound might seem weak, it has an important implication, which is used below: If the system's eigenstates become ergodic, they must be represented by an exponentially large number of tree states.

C. Validity of SDRG and the (in)stability of tree states

The SDRG is a heuristic procedure relying on strong disorder. The tree states generated by the SDRG are not exact eigenstates of the Heisenberg spin chain, but how accurate are they? Historically, at each step of the SDRG, one checks that the disorder in the effective Hamiltonian remains strong, such that strong couplings can be found; one can then check for the absence of resonances involving a small number of spins, to make sure that the neglected processes do not destroy the tree structure. While for the analysis of ground states this process is often sufficient, it is unclear whether such tests can guarantee the accuracy of SDRG for the excited states.

Below, we check the validity of the SDRG for excited states using several approaches. First, we compare SDRG tree states to the exact eigenstates for system sizes up to $L = 26$, obtained numerically. We use a number of measures, such as level statistics, and the ETH and its breakdown. Second, to describe large system sizes, we develop an approach to account for many-body processes that are usually neglected in the SDRG and to test their relevance. We introduce this approach qualitatively now, and we apply it in what follows. Suppose that the SDRG yields some tree state $|\Psi_{\text{RG}}^0\rangle$, specified by the tree geometry and the choice of total spins in each node. Instead of considering the effective Hamiltonian at every step, we can write the original Hamiltonian exactly in the basis of tree states with the geometry identical to that of $|\Psi_{\text{RG}}^0\rangle$. The first key observation is that the selection rules imposed by symmetry facilitate the analysis of relevant processes; more specifically, the block spins along a path connecting a pair of contiguous physical spins to the top of a tree can change by $\Delta S = 0, \pm 1$ [59]. The second observation is that, given that the typical spins of larger blocks grow (as the square root of the block size), the tree states connected to $|\Psi_{\text{RG}}^0\rangle$ by the Hamiltonian are expected to have the same geometrical structure. This expectation is because, for large spins, strong bonds remain strong when a value of some spins is changed by $\Delta S \ll S$.

We search for *resonances* between different tree states and characterize their properties. Solving the full

eigenvalue problem for large L is hopelessly complicated; thus, we focus on low-order resonances. Effectively, we check whether the Hamiltonian hybridizes a given tree state with its neighbor, say, $|\Psi_{\text{RG}}^1\rangle$ (a neighbor is a state such that $\langle\Psi_{\text{RG}}^1|H|\Psi_{\text{RG}}^0\rangle \neq 0$). As long as the probability of finding resonances is sufficiently low, we expect that true eigenstates are localized in the tree basis, which corresponds to a nonergodic phase or regime (if it occurs only for sufficiently small system sizes). Alternatively, if there are many resonances which proliferate, it is natural to expect the SDRG to break down and the system to become ergodic.

It is instructive to draw parallels with the conventional MBL phase of the strongly disordered XXZ spin chain in a random magnetic field. The caricature of MBL eigenstates is just product states with a well-defined S_i^z projection for each spin. While corrections to this picture certainly exist (e.g., LIOMs are not strictly equal to S_i^z operators), we know that MBL is stable if the disorder is sufficiently strong. Our aim is to understand whether for $SU(2)$ -symmetric chains tree states, with their built-in correlations and unusual entanglement properties, can be stable, representing a dynamical phase distinct from both MBL and ergodic phase.

Below, we use the above approach to reveal a broad nonergodic regime where tree states are, in fact, stable. We also provide evidence that trees eventually become unstable above a certain system size (dependent on α) for all values of α that we study. We therefore propose the picture that, while for finite systems the dynamics is nonergodic at strong disorder, in the thermodynamic limit the ETH should be recovered (see Fig. 1).

III. EXACT DIAGONALIZATION STUDIES

In this section, we present our numerical results from exact diagonalization.

A. Probing the stability of tree states

To analyze the accuracy of the SDRG procedure, we first study the participation ratios of exact eigenstates of the system (1) in the basis of the tree states generated by the SDRG. More precisely, for a given disorder realization $\{J_i\}$, we first run the SDRG to generate some tree state $|\Psi_{\text{RG}}^0\rangle$ with a total spin S_0 . A complete basis of states in the sector with a given total spin S_0 (and some fixed z projection of the total spin) can be built out of $|\Psi_{\text{RG}}^0\rangle$ by fixing the geometry of the underlying tree but allowing the block spins in the tree (apart from the top one, S_0) to take all possible values consistent with the angular momentum addition rules. We denote the basis obtained in this manner by

$$\{|\Psi_{\text{RG}}^a\rangle\}_{a=0,\dots,\mathcal{D}_{S_0,L}-1}, \quad (9)$$

where L is the length of the chain and $\mathcal{D}_{S_0,L}$ is the Hilbert space dimension of the sector with a total spin S_0 and a fixed projection $S_z = 0$:

$$\mathcal{D}_{S_0,L} = C_L^{L/2+S_0} - C_L^{L/2+S_0+1}, \quad C_n^m \equiv \frac{n!}{m!(n-m)!}. \quad (10)$$

The state with index $a = 0$ is the original SDRG state $|\Psi_{\text{RG}}^0\rangle$. In general, due to the correlations between the geometric structure of the tree and the values of the block spins discussed in Sec. II B, many of the states in the basis (with indices $a > 0$) would not be approximate eigenstates constructed in the real-space renormalization group. We expect, however, that at strong disorder the geometry of the tree that corresponds to the state $|\Psi_{\text{RG}}^0\rangle$ is also appropriate for a number of other SDRG states that do not differ too much from $|\Psi_{\text{RG}}^0\rangle$ in the values of block spins. Therefore, a significant fraction of $|\Psi_{\text{RG}}^{a>0}\rangle$ are, in fact, “SDRG eigenstates.”

We then perform an exact diagonalization of the Hamiltonian in the basis (9) [60]. Among all the eigenstates of the Hamiltonian, we focus on a single one, denoted by $|E\rangle$, that has the maximum overlap with a given $|\Psi_{\text{RG}}^0\rangle$. The quality of $|\Psi_{\text{RG}}^0\rangle$ as an approximation to $|E\rangle$ can be quantified by the inverse participation ratio (IPR) of the state $|E\rangle$ in the SDRG basis (9):

$$I_E = \sum_{a=0}^{\mathcal{D}_{S_0,L}-1} |\langle E|\Psi_{\text{RG}}^a\rangle|^4, \quad (11)$$

and its inverse $N_E \equiv 1/I_E$, which can be viewed as the number of tree states $|\Psi_{\text{RG}}^a\rangle$ (of a given topology) that one needs to accurately represent the eigenstate $|E\rangle$. Thus, small values of $N_E \sim 1$ indicate that the SDRG is accurate, while very large $N_E \gg 1$ signals an instability of tree states.

Computing the participation ratio N_E for 10^3 disorder realizations $\{J_i\}$ (and a single random SDRG state $|\Psi_{\text{RG}}^0\rangle$ for each $\{J_i\}$), we investigate the statistical properties of this quantity. We perform numerical simulations for the disorder parameter α ranging from $\alpha = 1.2$ (weak disorder) to $\alpha = 0.3$ (strong disorder). The results are summarized in Figs. 3 and 4. Figure 3 shows several examples of the distributions of $\log_{10} N_E$ for different system sizes and two different disorder strengths. We observe that in short systems, $L = 10$, $|\Psi_{\text{RG}}^0\rangle$ is very close to an exact eigenstate even for weak disorder, $\alpha = 1$, in the sense that $N_E \sim 1$. Upon increasing the system size, N_E grows, signaling that approximating the eigenstate $|E\rangle$ with a tree state $|\Psi_{\text{RG}}^0\rangle$ becomes less accurate.

The evolution of the typical value of N_E (defined as $e^{\langle \ln N_E \rangle}$) with the system size is illustrated in the top in Fig. 4. Interestingly, even in the weak disorder regime $\alpha = 1$ and for the largest system size $L = 20$, the typical $N_E \sim 25$ remains small compared to the dimension of the Hilbert space $\mathcal{D}_{S_0,L}$. The latter depends on the spin sector S_0 , which is chosen at random in the present analysis.

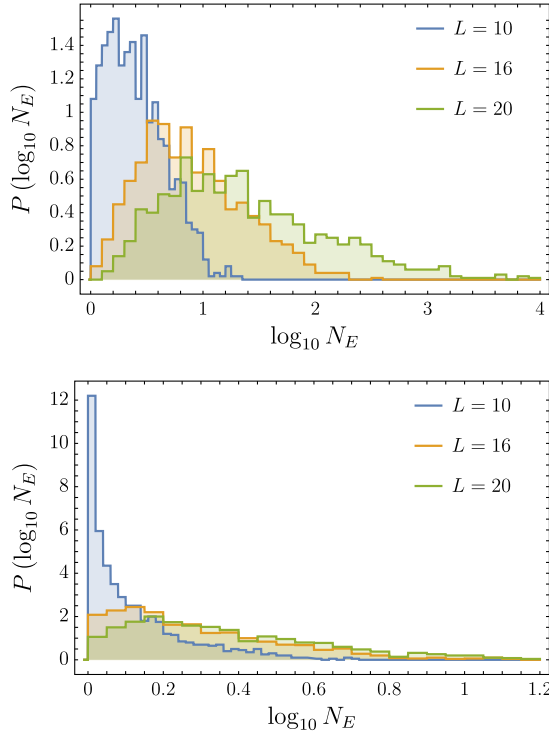


FIG. 3. Statistics of $\log_{10} N_E$ for $\alpha = 1$ (upper) and $\alpha = 0.3$ (lower). Different curves correspond to different system sizes $L = 10$, $L = 16$, and $L = 20$; see the legend.

The SDRG procedure we use generates states with different S_0 in accordance with their probability in the infinite-temperature ensemble, $P(S_0) \propto (2S_0 + 1)D_{S_0,L}$. For $L = 20$, the most frequently encountered value of S_0 is 3, corresponding to the Hilbert space dimension $\mathcal{D}_{3,20} = 38760$. Moreover, for 90% of the SDRG states $S_0 \leq 5$ and $\mathcal{D}_{S_0,20} \geq 10659$. The length dependence of the typical Hilbert space fraction occupied by the energy eigenstate $|E\rangle$ (in the tree basis), $e^{\langle \ln N_E / \mathcal{D}_{S_0,L} \rangle}$, is shown in the bottom in Fig. 4.

It is instructive to compare the above findings to the behavior of the IPR in the product-state basis for the conventional MBL phase. Viewing MBL as a kind of Anderson localization in the Hilbert space, one might naively expect that in the MBL regime the eigenstates would exhibit a system-size-independent IPR, $N_E \gtrsim 1$. It is known [15,32,52,61], however, that in reality MBL eigenstates are rather *fractal* when viewed in the product-state basis: the participation ratio N_E scaling as $N_E \propto \mathcal{D}^\gamma \propto 2^{\gamma L}$ with an exponent that depends on disorder strength. The fractal behavior stems from perturbative corrections and resonances discussed at the end of Sec. II A (or, equivalently, it is due to the fact that local integrals of motion have support over more than one lattice site). In the strong-disorder limit, $\gamma \propto t/W = 1/L_W \ll 1$. The MBL transition is thus marked *not* by the emergence of the growth of N_E with the system size but rather by a jump of the exponent γ to its thermodynamic value $\gamma = 1$ (at an infinite temperature).

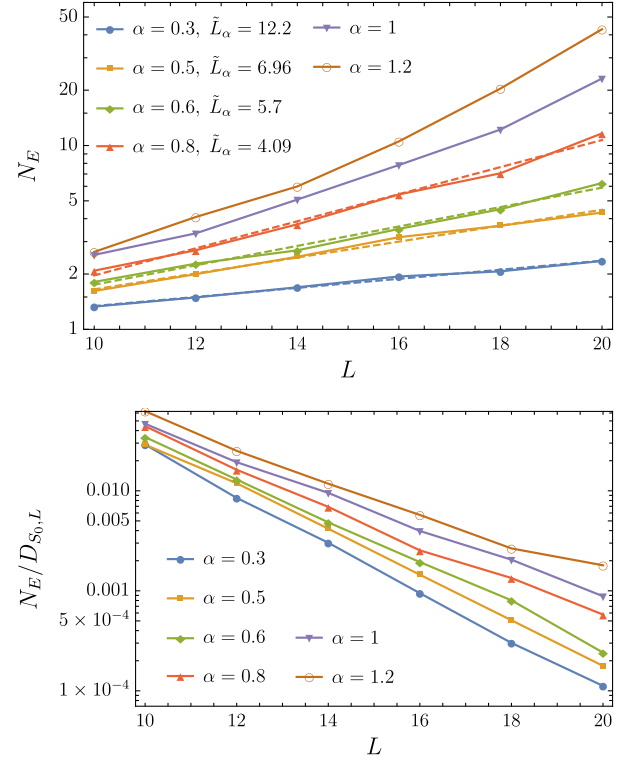


FIG. 4. Typical number N_E of tree states participating in the eigenstate $|E\rangle$ (top) and the typical value of the fraction $N_E/D_{S_0,L}$ (bottom) versus the system length for different strengths of disorder (see the legend). The dashed lines in the top represent the exponential fits $N_E \propto 2^{L/\tilde{L}_1(\alpha)}$.

The behavior shown in Fig. 4 for the Heisenberg chain is qualitatively similar. At strong disorder $\alpha \leq 0.8$, the dependence $N_E(L)$ for the available system sizes can be approximated by an exponential fit, $N_E \propto 2^{L/\tilde{L}_1(\alpha)}$ [see dashed lines in the top in Fig. 4; the corresponding values of the fitting parameter $\tilde{L}_1(\alpha)$ are indicated in the legend]. The length $\tilde{L}_1(\alpha)$ grows as the disorder strength is increased. By analogy with the conventional MBL, we can expect that the length scale $\tilde{L}_1(\alpha)$ characterizes the density $1/\tilde{L}_1(\alpha)$ of the rare local resonant d.o.f. in the system; see also the discussion at the end of Sec. IV B.

At weaker disorder $\alpha = 1, 1.2$, the naive exponential fit produces a very small $\tilde{L}_1(\alpha) < 2.5$. Moreover, the slope $d \ln N_E / dL$ of the corresponding lines shows a clear increase as the system size grows. Accordingly, the fraction $N_E/D_{L,S_0}$ (bottom in Fig. 4) displays a tendency toward saturation, suggesting that, ultimately, the scaling of N_E in long systems becomes ergodic, $N_E \propto 2^L$.

In view of the results above, it may be tempting to conclude that the strongly disordered Heisenberg spin chains do indeed display a nonergodic, non-MBL phase with unusual treelike eigenstates that are only slightly dressed by perturbative corrections and occasional resonances (similar to how in the conventional MBL phase the

eigenstates are perturbatively dressed product states). At weaker disorder, one would then expect a transition into an ergodic phase. However, the crucial question concerns the ultimate fate of the putative nonergodic behavior in the thermodynamic limit. In particular, does the observed fractal scaling $N_E \propto 2^{L/\tilde{L}_1(\alpha)}$ persist, or does it eventually cross over to the ergodic scaling, as for the weakly disordered case? In order to answer these questions, in the next sections we subject the hypothetical nonergodic phase to several stringent tests.

B. Level statistics

Our main goal in this subsection is to further characterize the nonergodic behavior found above and its dependence on the system size. We employ the standard diagnostics of ergodicity and its breakdown: the level statistics in the center of the many-body band. An extensive use of the constraints imposed by the $SU(2)$ symmetry allows us to perform exact diagonalization on spin chains of up $L = 26$ spins. Larger system sizes that we can achieve here compared to Sec. III A are due to the use of massively parallel algorithms together with the possibility to focus on a small number of eigenstates near the band center (recall that the identification of the eigenstate $|E\rangle$ studied in Sec. III A requires the knowledge of the full set of eigenstates). In most of our studies, we concentrate on the $S_0 = 0$ sector, and data for $S_0 = 1, 2$ do not show any qualitative differences. For each L , α , and each disorder realization $\{J_i\}_{i=1,\dots,L}$, up to 50 eigenstates around the middle of the spectrum (fewer for $L = 10, 12$ and $L = 26$) are obtained, and a total of at least 1000 disorder realizations (except for $L = 26$) are considered.

We characterize the level statistics by the r parameter, defined as follows [6]:

$$r \equiv \frac{\min(\Delta_n, \Delta_{n+1})}{\max(\Delta_n, \Delta_{n+1})}, \quad (12)$$

with Δ_n and Δ_{n+1} being two consecutive level spacings.

The distribution of the parameter r and its dependence on the system size and disorder strength are shown in Figs. 5 and 6. The distributions of r change qualitatively as α is decreased at a fixed L : For largest $\alpha = 1.6$ (very weak disorder), r is described by the standard Wigner-Dyson distribution, while for small $\alpha = 0.3$ (strongest disorder considered), one observes the Poisson distribution, with virtually no level repulsion. This result supports the existence of a nonergodic regime at accessible system sizes. For $\alpha \in [0.6, 1]$, the level statistics is intermediate between the Wigner-Dyson and Poisson distributions. We also illustrate the dependence of the distribution $P(r)$ on the system size for weak disorder $\alpha = 1.3$. It is evident that the distribution flows toward Wigner-Dyson, albeit relatively slowly.

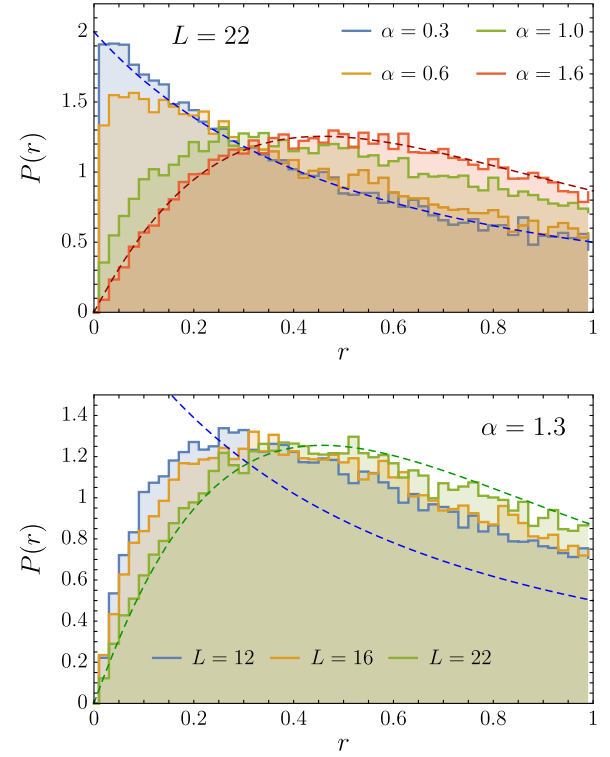


FIG. 5. Level statistics for the Heisenberg chain. Each curve in the figure is produced using at least 50 eigenstates from the middle of spectrum and 1000 realizations of disorder. The dashed lines are the Wigner-Dyson (WD) and Poisson distributions. (Top) For a fixed length $L = 22$ and varying α . (Bottom) For a fixed $\alpha = 1.3$ and varying length L . The tendency toward the Wigner-Dyson statistics is evident at both growing L and α . However, for smaller values of α , $P(r)$ remains close to the Poisson one up to the largest available system sizes.

Furthermore, we study the flow of the average value, $\langle r \rangle$, with the system size, in an attempt to extract relevant length scales. $\langle r \rangle$ as a function of L for different values of α is illustrated in Fig. 6. For weak disorder $\alpha \geq 0.8$, the dependence of $\langle r \rangle$ on L is nonmonotonic. Our data show a tendency toward the Poisson statistics for small system sizes $L < L^*(\alpha)$, but for $L > L^*(\alpha)$ the value of $\langle r \rangle$ starts growing, moving toward the Wigner-Dyson (WD) value. Upon decreasing α to the value of 0.8, the length scale $L^*(\alpha)$ increases, while its value $r^*(\alpha) \equiv r[L^*(\alpha)]$ decreases. The ultimate flow of $\langle r \rangle$ toward the WD value is consistent with the expectation that at weak disorder the system becomes ergodic for modest system sizes. One can estimate the scale where the system becomes ergodic, L_{erg} , by extrapolating the $\langle r(L) \rangle$ dependence up to the crossing with the WD line. The length scale extracted in this way is larger than the maximum system sizes accessible numerically for $\alpha < 1$. The extrapolation procedure suffers from a large uncertainty. Therefore, we choose instead to characterize the delocalization crossover by the length $L^*(\alpha)$, and we expect that $L_{\text{erg}}(\alpha) \propto L^*(\alpha)$. We extract the dependence

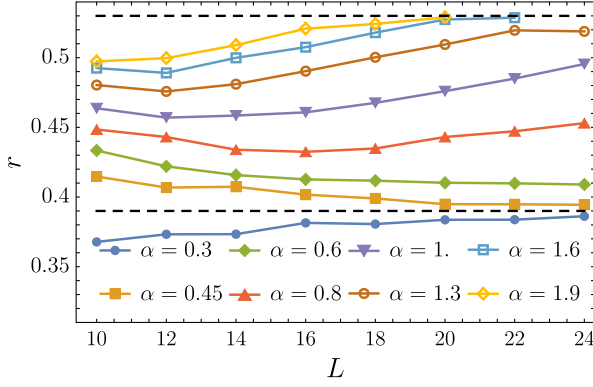


FIG. 6. The average r parameter as a function of L for different values of α . From top to bottom (for any fixed L), $\alpha = 1.9, 1.6, 1.3, 1, 0.8, 0.6, 0.45, 0.3$. The dashed lines at $r = 0.53$ and $r = 0.39$ represent the WD and Poisson values, respectively. Error bars are within the symbol.

$L^*(\alpha)$ by fitting the data in Fig. 6 through the quadratic dependence $r(L) = r^*(\alpha) + a[L - L^*(\alpha)]^2$ (with a being an additional fitting parameter). The resulting behavior is illustrated in Fig. 7.

The data at stronger disorder $\alpha \in [0.3, 0.6]$ show *prima facie* a qualitatively different behavior. For the strongest disorder $\alpha = 0.3$, the parameter $\langle r \rangle$ slowly increases for

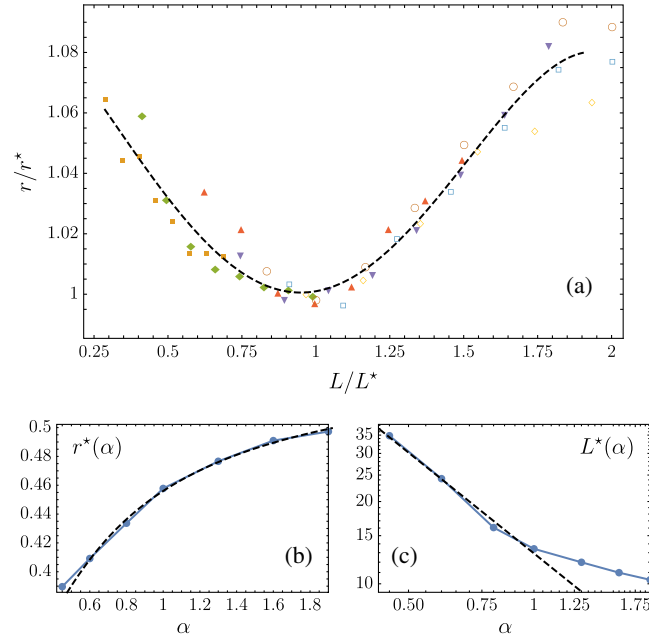


FIG. 7. (a) The average r parameter as a function of L/L^* , divided by r^* , falls onto a universal curve in the vicinity of its minimum. (b) The value of the minimum $r^*(\alpha)$. The dashed line is a fit of the form $r^*(\alpha) = r_\infty + c_1/\alpha + c_2/\alpha^2$, which returns $r_\infty = 0.53 \pm 0.01$ compatible with the Gaussian orthogonal ensemble value. (c) The position of the minimum $L^*(\alpha)$ for $\alpha = 1.9, 1.6, 1.3, 1, 0.8, 0.6, 0.45$. The dashed line is a fit of the form $L^*(\alpha) = c\alpha^{-\nu}$ with $\nu = 1.4 \pm 0.13$ for the first four points.

small L , in stark contrast with the behavior found for $\alpha \geq 0.8$. Interestingly, at small L this parameter is below the Poisson value of $\langle r \rangle_P \approx 0.39$. We attribute this result to strong disorder leading to the appearance of very small couplings in a typical disorder realization (smaller than the level spacing at small L). The chain is then effectively broken into smaller, almost noninteracting, spin chains. This breaking leads to level clustering, and the r parameter becomes sub-Poissonian. However, since the level spacing decreases exponentially with the system size, while the weakest coupling decreases only as a power law [see Eq. (4)], the level clustering is eventually washed out, and for $L > 18$ the parameter $\langle r \rangle$ rapidly approaches the standard Poisson value. For disorder strengths $\alpha = 0.45, 0.6$, $\langle r \rangle$ is initially slightly above the Poisson value, but it *decreases* as the system size is increased; no flow toward WD is seen. For the system sizes analyzed, it is evident that ergodicity has not developed and a single SDRG tree state provides a good approximation to the eigenstates, as we also demonstrate in the previous subsection.

The exact diagonalization results for strong-disorder values $\alpha \in [0.3, 0.6]$ may be consistent with two scenarios. One scenario is that (much like in the usual MBL) the system experiences a phase transition at some critical disorder strength. Another scenario is that, even at strong disorder, the system eventually flows to ergodicity, similar to what we find for weaker disorder values. Assuming that this second scenario is realized, in large enough systems the curves for $\alpha = 0.45, 0.6$ first develop a minimum and then flow to the WD value at yet larger system sizes. The corresponding scale L^* can be heuristically extracted by fitting the ED data shown in Fig. 6 against a quadratic polynomial, as mentioned above, and then performing an extrapolation to the numerically inaccessible system sizes. The dependence of the length L^* on disorder, as extracted by the analysis outlined above, is illustrated in Fig. 7(c). It is consistent with a power-law scaling $L^*(\alpha) \propto \alpha^{-1.4}$. We note that the curves $\langle r(L) \rangle$ for $\alpha \geq 0.6$ (including extrapolated data for $\alpha = 0.45, 0.6$) can be collapsed (in the vicinity of $L = L^*$) into a single one by simultaneously rescaling $r \rightarrow r/r^*$ and $L \rightarrow L/L^*$; see Fig. 7.

To sum up, the length L^* beyond which the spectral parameter starts flowing toward the WD value (but the system of size L^* is still nonergodic, because r^* is closer to the Poisson value) grows rapidly with the increase of disorder. Although the trend is clear, we are extrapolating significantly away from the accessible system sizes $L \leq 26$. Thus, the law governing $L^*(\alpha)$ which we propose should be taken with a grain of salt. In the next subsection, we proceed to test the eigenstate thermalization hypothesis.

C. Eigenstate thermalization hypothesis and its breakdown

We characterize the eigenstates of random Heisenberg chains by testing the ETH and its breakdown. The ETH

provides a microscopic picture of thermalization in ergodic quantum systems [62–64]. Specifically, it states that individual ergodic eigenstates appear to be thermal, from the point of view of simple physical observables (e.g., few-body operators). The ETH formalizes and extends the intuition that the eigenstates of an ergodic system should be “as random as possible,” up to a small set of global constraints (in our case, energy and total spin).

For our purposes, the ETH can be formulated in terms of the expectation values of local observables. Let $\hat{O}$ be an operator representing some physical observable. Then, for every pair of eigenstates $|a\rangle, |b\rangle$ of an ergodic system, the ETH yields an ansatz for matrix elements of $\hat{O}$ [62,63]:

$$\langle a|\hat{O}|b\rangle = \bar{O}(E)\delta_{ab} + e^{-S(E)/2}f(E, \Delta E)R_{ab}, \quad (13)$$

where E and ΔE are, respectively, the average and the difference between the energies of the two eigenstates, $E = [(E_a + E_b)/2]$, $\Delta E = E_b - E_a$, and $S(E)$ is the microcanonical entropy. Function $f(E, \Delta E)$ is a smooth function of its arguments, which reflects dynamical properties of observable $\hat{O}$ and is system specific. Finally, R_{ab} is a normally distributed random variable with unit variance. Notably, in this formula the diagonal part $\bar{O}$ is assumed to be a smooth function of E alone and is equal to the microcanonical average of $\hat{O}$. This assumption reflects the fact that observables in eigenstates are equal to their microcanonical ensemble values.

According to Eq. (13), in a thermalizing system the distribution of values $\langle a|\hat{O}|a\rangle$ for eigenstates $|a\rangle$ that are sufficiently close in energy should display a reasonably smooth dependence on E , with only small, normal fluctuations about the average, suppressed exponentially in the system size by the factor $e^{-S(E)/2}$ so as to reproduce the microcanonical ensemble in the infinite-size limit.

We focus our attention on the following two local observables:

$$\begin{aligned} \hat{O}_{\max} &\equiv \mathbf{S}_{i^*} \cdot \mathbf{S}_{i^*+1}, \\ \hat{O}_{\text{rand}} &\equiv \mathbf{S}_{j^*} \cdot \mathbf{S}_{j^*+1}, \end{aligned}$$

where $(i^*, i^* + 1)$ is the pair of spins coupled the most strongly ($|J_{i^*}| = \max |J_i|$) and $(j^*, j^* + 1)$ is its antipodal pair ($j^* = i^* + L/2$ modulo L). Since couplings are independent, the latter pair is coupled by an interaction J_{j^*} of typical (or “random”) strength, hence the name $\hat{O}_{\text{rand}}$.

Let us discuss our expectations for the averages of these operators over eigenstates, depending on whether the SDRG is accurate. First, suppose that $|a\rangle$ is exactly an SDRG tree state. Then the spins $(i^*, i^* + 1)$ are going to be paired in either a $S = 0$ or a $S = 1$ state, and the value of $\langle a|\hat{O}_{\max}|a\rangle$ is going to be either $-3/4$ or $1/4$, respectively. Even for $\langle a|\hat{O}_{\text{rand}}|a\rangle$, these two values are going to be likely, although in many cases the pair $(j^*, j^* + 1)$ will not

be coupled directly by the SDRG procedure but rather at a higher level, resulting in some intermediate value. However, in the ergodic regime—when the SDRG breaks down—local thermalization implies that the local state of any pair of spins will be a uniform (at $T = \infty$) mixture of the four possible above-mentioned states, resulting in a thermal average of zero for both observables.

The distributions of the expectation values of $\hat{O}_{\max}/\text{rand}$ over eigenstates at system size $L = 20$ are shown in Fig. 8. It is clear that the system is perfectly compliant with the ETH at sufficiently high values of α , whereas at smaller values of α the behavior is consistent with the eigenstates being close to tree SDRG states. This phenomenology, which we interpret as a finite-size crossover between ergodic and nonergodic structure of the system’s eigenstates, is compatible with the observed behavior for the level statistics (cf. Sec. III B).

In order to validate our interpretation, we characterize the finite-size flow to ergodicity by looking at the percentage of eigenstates whose corresponding values of $\hat{O}_{\max}/\text{rand}$ fall within some fixed window centered at zero. Figure 9 confirms that the “ergodic fraction” of infinite- T eigenstates is increasing with L for both $\hat{O}_{\max}$ and $\hat{O}_{\text{rand}}$, though much more slowly for strong disorder. Crucially, at disorder $\alpha = 0.6$, the ETH is still strongly violated, which is

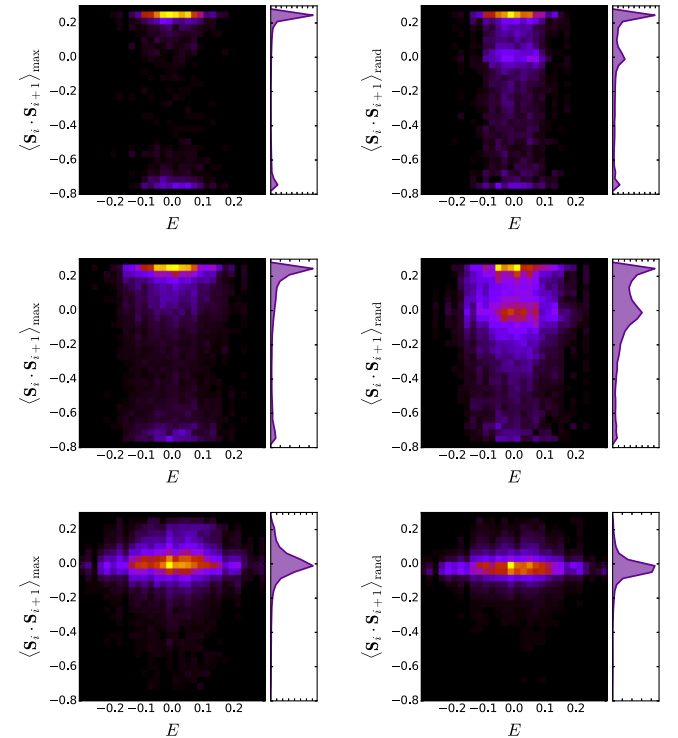


FIG. 8. Heat maps for the distributions of $\langle a|\hat{O}_{\max}|a\rangle$ (left) and $\langle a|\hat{O}_{\text{rand}}|a\rangle$ (right) over several ($\gtrsim 25000$) eigenstates. Here, $S_0 = 0$, $L = 22$, and $\alpha \in \{0.3, 0.6, 1.3\}$ (top to bottom). The concentration of the y marginals around 0 denotes increasingly ergodic behavior (see the comments in the text).

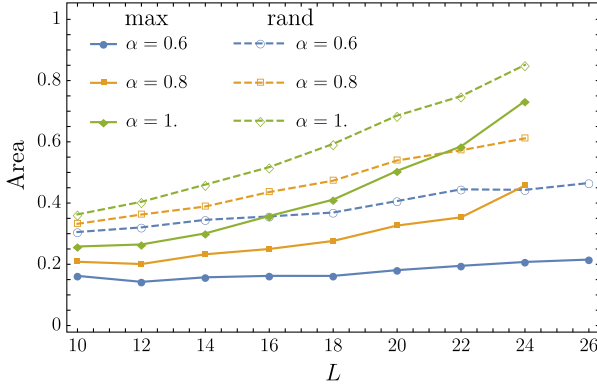


FIG. 9. Fraction of eigenstates with a value of $\langle \hat{O}_{\text{max/and}} \rangle$ between $-1/8$ and $1/8$, for $\alpha \in \{0.6, 0.8, 1.0\}$ and $S_0 = 0$. The ETH predicts this fraction to become 1 in the infinite-size limit.

consistent with the nonergodic behavior observed in level statistics above.

D. Entanglement entropy

Another witness of nonergodic behavior can be found in the scaling of the half-chain entanglement entropy with the system size, which is known to obey an area law for MBL systems and a volume law for ergodic ones. More precisely, in a system that thermalizes, generic eigenstates are expected to be similar to random states; their entanglement entropy equals the thermodynamic one, yielding for states in the middle of the band a value of $S_{\text{ent}}(L/2) = L/2 + o(L)$, when measured in bits [65,66].

The numerical results are reported in Fig. 10. The median entanglement entropy of the infinite-temperature eigenstates exhibits linear scaling for all considered values of α , but the linear coefficient observed at $L \leq 20$ deviates substantially from the ergodic prediction at strong disorder

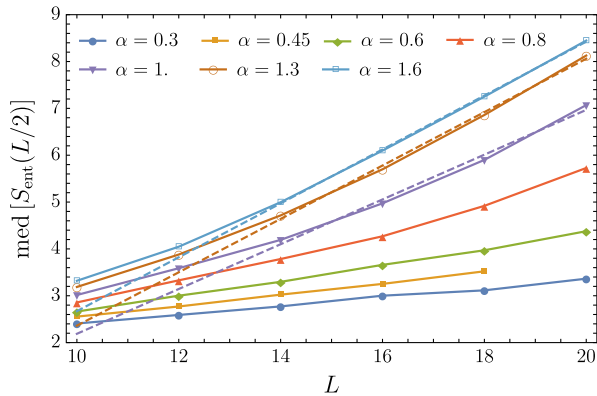


FIG. 10. Median value of the half-chain entanglement entropy, $S_{\text{ent}}(L/2)$, for the $S_0 = 0$ sector and $\alpha \in \{0.3, 0.45, 0.6, 0.8, 1.0, 1.3, 1.6\}$ (bottom to top). Linear fits, performed on the $L \geq 14$ points, are shown when their slope is close to that expected for an ergodic phase. Entanglement entropy for the higher disorder values is strongly subthermal.

(although significant curvature is present). This result is once more consistent with the results of Secs. III B and III C.

To summarize the results of this section, ED data show a clear trend toward the ETH for moderate-to-weak disorder (i.e., $\alpha \gtrsim 0.6$) while indicating a novel nonergodic regime for the case of strong disorder. To determine the behavior of the system in the thermodynamic limit, we have to resort to a completely different approach, presented in the next section, which surpasses ED.

IV. RESONANCE COUNTING: FROM A SINGLE TREE TO A FOREST

As we showed in the previous section, at strong disorder, finite-size random Heisenberg chains exhibit a nonergodic regime, in which their eigenstates are well approximated by tree states. Here, to determine the eventual fate of these systems in the thermodynamic limit $L \rightarrow \infty$, we develop an approach to analyze resonances between different tree states. We are able to capture long-range, multispin processes, which are beyond the conventional SDRG. We obtain the asymptotic behavior of the resonance number and their spatial structure. We find that the resonance density grows for all studied disorder strengths, leading to an eventual delocalization at very large length scales, which we estimate. Beyond this length scale, the system presumably becomes ergodic.

Given a tree state generated by the SDRG, we can construct a complete basis [Eq. (9)] in the Hilbert space (with the total spin of the system fixed) by allowing the values of the block spins identified by the SDRG to take all possible values consistent with the rules of angular momentum addition. The Hamiltonian (1), written in this basis, will then connect the initial SDRG state to a certain number of other tree states. We consider the eigenvalue problem in this basis. The localization in this problem corresponds to true eigenstates being close to the tree states; in contrast, delocalization signals the breakdown of the SDRG approximation, suggesting ergodicity. The criteria for delocalization is studied below.

A. Connectivity of the hopping problem

First, we investigate the connectivity of this eigenvalue problem. That is, we analyze how many matrix elements of the Hamiltonian between a given tree state and other ones are nonzero. The $SU(2)$ symmetry of the model imposes stringent constraints on the matrix elements of the Hamiltonian [40]. Specifically, let us consider one of the terms in the Hamiltonian, $J_i \mathbf{S}_i \mathbf{S}_{i+1}$. It can be shown that the action of such an operator on a tree state can affect only the block spins that lie on the path in the tree connecting spins i and $i+1$; see Fig. 1(c).

Moreover, each of those block spins on the path, if affected, can change only by 0 or ± 1 . It then follows that

the number of states connected to a given one by the operator $J_i \mathbf{S}_i \mathbf{S}_{i+1}$ is given by

$$K_{i,i+1} \simeq 3^{l_{i,i+1}}, \quad (14)$$

where $l_{i,i+1}$ is the length of the path in the tree connecting physical spins i and $i+1$. The factor 3 arises from the selection rules: The operator can change the value of the representation at a node by $\Delta S = -1, 0, +1$. The $\simeq$ sign is due to the constraint that the new values of block spins in the tree must still be consistent with the rules of angular momentum addition (in particular, they cannot be negative). Sufficiently far from the bottom of the tree, the typical values of block spins are large, and the latter constraint can influence only the prefactor in Eq. (14). Taking into account that the Hamiltonian (1) is just a sum of local terms of the form discussed above, we conclude that the total connectivity in the Hilbert space induced by the Hamiltonian (1) is

$$K \simeq \sum_{i=1}^L 3^{l_{i,i+1}}. \quad (15)$$

We are now left with the task of computing the distribution $P(l)$ of these lengths $l_{i,i+1}$, for the SDRG trees. The SDRG fuses spins that are most strongly coupled. Neglecting the correlations between the (renormalized) couplings at any step of the SDRG as well as the dependence of those on the couplings at *earlier* stages of the SDRG, we can assume that the pair of spins to be fused is just randomly chosen among all possibilities (with the only requirement that the fusing spins are nearest neighbors so that locality is respected). In such an ensemble of *maximally random* SDRG trees, the distribution $P(l)$ can be computed analytically. As we show in Appendix D 1, it turns out that $P(l)$ falls down exponentially with l , and in the limit $L \rightarrow \infty$ it becomes

$$P(l) = \frac{3}{4} \left(\frac{2}{3} \right)^l \quad (16)$$

[the normalization is the correct one considering $l \geq 2$, so $\sum_{l \geq 2} P(l) = 1$]. With this distribution $P(l)$, the sum (15) is dominated by the maximum l_M over the L terms. To the leading order in L , the value of l_M can be estimated from the condition $LP(l_M) \sim 1$. This estimate follows from the distribution of the largest of L random variables with the distribution (16), which is given by $P(l_M = x) = (3L/4)(\frac{2}{3})^x [1 - (\frac{2}{3})^{x-2}]^{L-1}$.

This estimation yields

$$l_M = \max_{i=1, \dots, L} l_{i,i+1} \sim \frac{\ln L}{\ln(3/2)}. \quad (17)$$

Plugging back into $K \sim 3^{l_M}$, we find

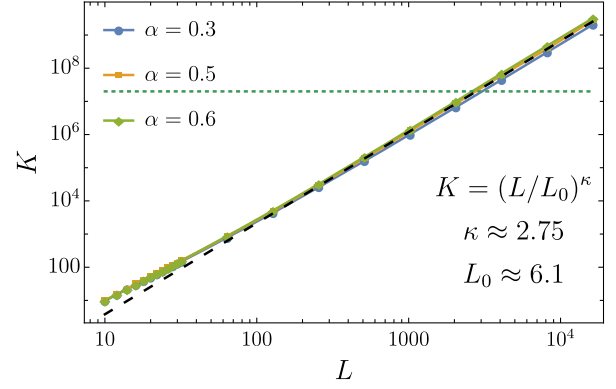


FIG. 11. Typical connectivity of the Heisenberg Hamiltonian in the tree basis obtained from the SDRG, as a function of the system size, for different values of disorder $\alpha = 0.3, 0.5, 0.6, 0.8, 1, 1.2$ (see the legend). The dashed line represents the fit $K = (L/L_0)^\kappa$. The extracted value of $\kappa = 2.75$ is in good agreement with the analytic result (18): $\kappa = \ln 3 / \ln(3/2) \approx 2.71$. The horizontal dotted line shows the maximal sampling rate (2×10^7 matrix elements) used in the search of resonances (see Secs. IV B and IV C).

$$K \sim L^\kappa, \quad (18)$$

with $\kappa = \ln 3 / \ln(3/2) \approx 2.71$. The power-law scaling (18) and the value of the exponent κ are in good agreement with the numerical simulations of the SDRG trees (with the full set of SDRG rules taken into account); see Fig. 11.

B. Local resonances

Our next goal is to find resonances among the $K \propto L^\kappa$ “hopping” processes generated by the Heisenberg Hamiltonian for a given tree state. We first focus on investigating relatively small system sizes $L \lesssim 30$, comparable to those accessible by exact diagonalization.

To study the number of resonances, we first use the SDRG procedure to generate a random tree state $|\Psi_{\text{RG}}^0\rangle$ and identify resonant neighbors for that state—that is, the ones for which the ratio of matrix element connecting them to $|\Psi_{\text{RG}}^0\rangle$ and the energy difference is larger than one. These resonances invalidate the perturbative expansion around the “infinite disorder” eigenstates (the SDRG states). Their proliferation signals the instability of tree states, strongly suggesting that ergodicity is restored. The SDRG is essentially a local optimization procedure that aims to construct basis states free of such resonances. Based on the results presented above, we expect that, at strong disorder and in relatively short systems, these resonances should be few in number, because the SDRG is accurate.

The average number of resonant neighbors, $\langle K_{\text{res}} \rangle$, of an SDRG tree state is shown in Fig. 12. We observe that, for relatively small systems discussed here, $\langle K_{\text{res}} \rangle$ scales linearly with the system size L . As expected, the slope of this linear growth becomes smaller for stronger disorder.

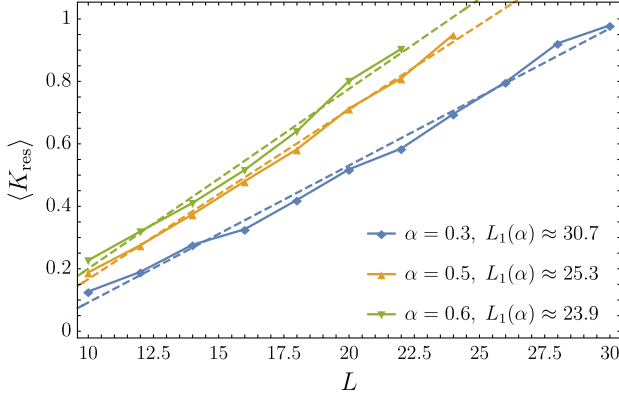


FIG. 12. The average number of resonant neighbors for an SDRG tree state in short systems. The solid lines of different colors correspond to different values of the exponent α . Dashed lines represent linear fits, $\langle K_{\text{res}} \rangle = aL - b$. For each value of disorder, the legend also indicates the scale $L_1(\alpha)$ defined by $\langle K_{\text{res}} \rangle = 1$ (see the main text).

The condition $\langle K_{\text{res}} \rangle = 1$ defines an important (disorder-dependent) length scale in the problem, $L_1(\alpha)$, at which resonances start appearing. Naively, this length scale plays the same role as the length scale L_W introduced in Sec. II A to characterize the resonances in a random-field XXZ chain. We find that the scale $L_1(\alpha)$ grows at stronger disorder, crudely following a power-law dependence, $L_1(\alpha) \propto \alpha^{-0.4}$.

Figure 12 shows that at relatively strong disorder values $\alpha \leq 0.6$, the average number of resonant neighbors for an SDRG tree state is 1 or less for all system sizes available in ED. This number agrees with the observation that such chains display a nonergodic behavior in all of the ED studies of Sec. III, with eigenstates being well approximated by the tree states.

In particular, the low number of resonances is in agreement with the slow growth of N_E (the participation ratio of eigenstates in the tree basis) found in Sec. III A. Drawing parallels to conventional MBL systems, it is tempting to identify the length scale $\tilde{L}_1(\alpha)$ that controls the exponential growth of N_E with the system size (see Sec. III A) with $L_1(\alpha)$. However, the comparison of the values of $L_1(\alpha)$ and $\tilde{L}_1(\alpha)$ reveals that the latter is several times shorter. We attribute this difference to the effect of the second-order perturbative corrections that contribute to the spreading of the exact eigenstate $|E\rangle$ over the SDRG tree state. Such higher-order perturbative corrections lie beyond the first-order resonance counting that underlies the scale $L_1(\alpha)$. The perturbative corrections are expected to be more significant at weak disorder; in accordance with this intuition, we find a more significant difference between $L_1(\alpha)$ and $\tilde{L}_1(\alpha)$ for such disorder strengths.

C. Longer systems and the proliferation of resonances

Does the linear scaling of K_{res} with the system size discussed in the previous subsection persist in the

thermodynamic limit? Such behavior closely resembles that of the strongly disordered XXZ model. It implies that the resonant neighbors can be attributed to the existence of local subsystems with resonating levels which, if sufficiently separated in space, remain isolated and do not cross talk (in the sense that there is no significant entanglement in the eigenstates between such “local” resonances). If true, this argument would be strongly in favor of the SDRG tree states surviving in an infinitely long system, up to corrections due to local, isolated resonances. We now perform a detailed analysis of resonances in large systems, up to $L \sim 2 \times 10^3$, and find that Heisenberg chains actually behave qualitatively differently compared to the plain-vanilla MBL systems: The number of resonances grows *faster* than linearly with the system size.

1. Number of resonances and their structure

The probability for an SDRG tree state to have no resonant neighbors vanishes in sufficiently long systems (see the top in Fig. 13). Then, a typical tree state has a large number of resonances attached to it. The bottom in Fig. 13 shows (in log-log scale) the dependence of the *typical* number of resonant neighbors (defined as $e^{\langle \ln K_{\text{res}} \rangle}$) for an SDRG tree state. The dashed lines represent power-law fits:

$$K_{\text{res}} \propto \left(\frac{L}{L_1(\alpha)} \right)^{1+\mu} \quad (19)$$

with the scale $L_1(\alpha)$ determined from the short-scale behavior of K_{res} ; see Sec. IV A. The “anomalous” exponent μ is approximately disorder independent, $\mu \approx 0.38$. The details of the numerical procedure employed to find and characterize resonances are given in Appendix C.

The power-law scaling of the number of resonant neighbors, $K_{\text{res}} \propto L^{1+\mu}$, implies that, in stark contrast to the random-field XXZ model, the *density* of the resonating d.o.f. grows with the system size. Accordingly, at least some of the resonant transitions must originate not from the rearrangement of a few local spins but rather involve a growing number of spins. To support this conclusion, we analyze the structure of typical resonances. The top in Fig. 14 shows the average number of block spins, N_{bs} , that are changed in the course of a resonant transition. We observe that N_{bs} grows (albeit rather slowly) with the length of the chain. The decrease of N_{bs} with increasing disorder can be understood as follows: At weak disorder, the possibility to change a block spin in a resonant manner is often accompanied by an “instability” (with respect to resonances) of the block spins higher up in the hierarchy. The more complicated (involving flipping of more than one block spin) resonant neighbors appear and contribute to the increase of the average N_{bs} . On the other hand, at strong disorder an instability of a single block spin is more likely to remain “localized” and not to “propagate” upwards in the tree.

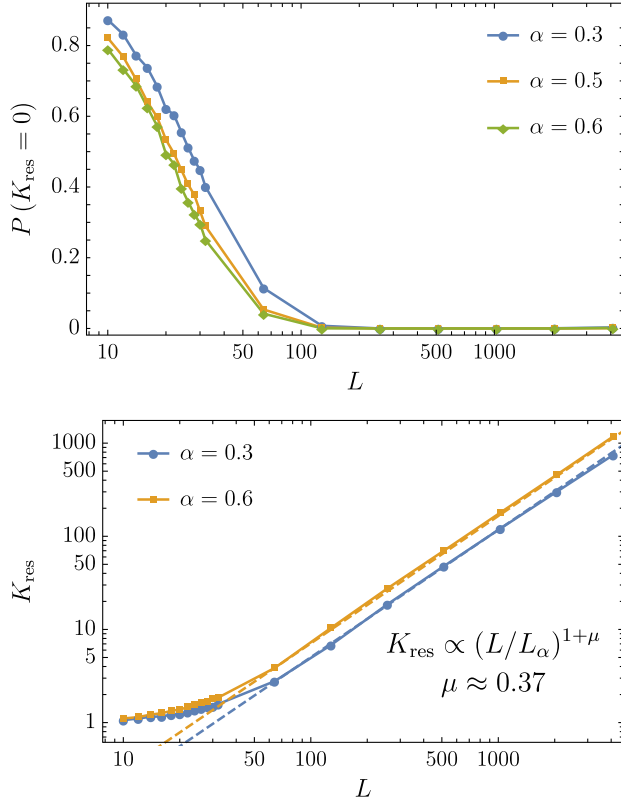


FIG. 13. (Top) The probability $P(K_{\text{res}} = 0)$ for an SDRG tree state to have no resonant neighbors, as a function of the system size, and for different values of disorder (see the legend). Note the logarithmic scale along the horizontal axis. While short systems are essentially free of resonances even for a relatively weak disorder, the probability $P(K_{\text{res}} = 0)$ becomes vanishingly small in long systems. (Bottom) Typical number of resonant neighbors for an SDRG tree state in long systems $L \leq 2^{12}$. The three solid lines of different colors correspond to the two different values of the exponent $\alpha = 0.3, 0.6$. The dashed lines show the power-law fits, $K_{\text{res}} = [L/L_1(\alpha)]^{1+\mu}$. The scale $L_1(\alpha)$ where the average number of resonant neighbors for an SDRG tree state equals 1 is defined in the previous subsection.

The size of a typical resonance in *real space* also grows as the system size is increased; see the bottom in Fig. 14. An elementary physical spin is affected by a resonant transition if at least one of its descendant block spins changes its state. The physical size of a resonance is then defined as the total number of the elementary spins involved in it. Essentially, it is the level (as counted from the bottom of the tree) of the highest block spin affected by the resonance that sets the size of the resonance.

We observe that at moderate system sizes the typical spatial size of a resonance at strong disorder exceeds that at weak disorder. This observation is in accord with our intuition: At strong disorder, a large number of spins need to rearrange collectively in order for a transition to be resonant. In terms of the SDRG, this need means that many SDRG steps can be performed before the resonances start to play any role. On the other hand, in sufficiently long

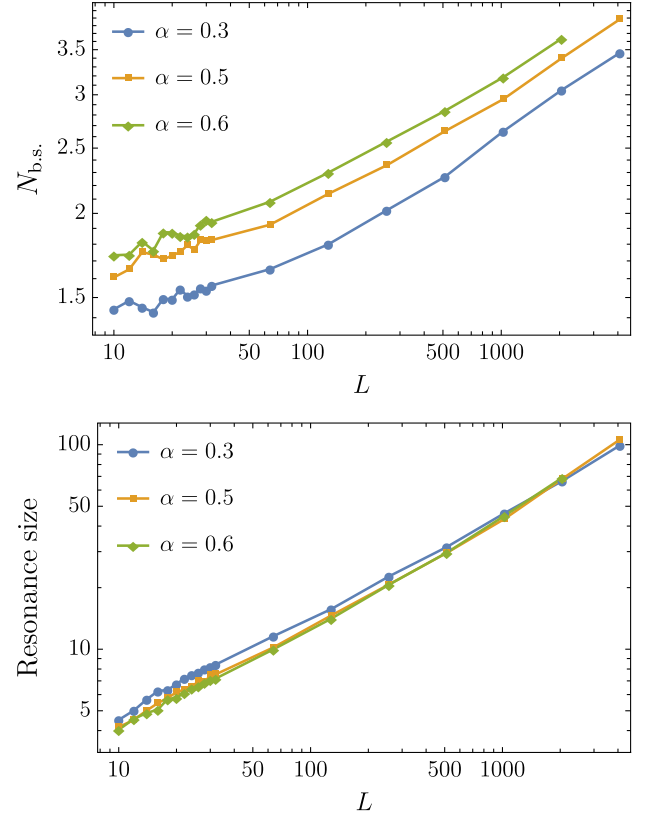


FIG. 14. (Top) Average number of the block spins changing their value in a single resonant transition. (Bottom) Typical number of adjacent physical spins involved in a resonance (see the main text).

systems, we see the opposite tendency: Weakly disordered chains typically exhibit resonances of larger size. This result is the manifestation of the propagation of an instability of block spins upwards in the tree; cf. the discussion above.

The growing length scale characterizing the resonances comes together with a decreasing energy scale. The latter is

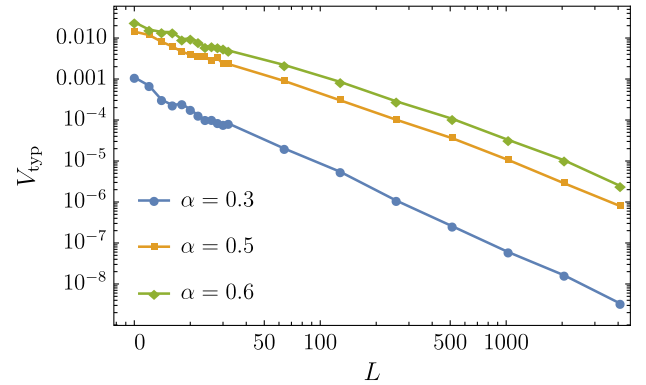


FIG. 15. Characteristic energy scale for typical resonances, as a function of the system size, shown for different disorder strengths.

given by a typical matrix element for a resonant transition, V_{typ} . Its system size dependence is shown in Fig. 15. In the following subsection, we use V_{typ} to estimate the energy scale associated with the crossover to ergodicity.

2. Breakdown of SDRG and delocalization

The results presented in the previous subsection (most importantly, the power-law growth of the resonance density) strongly suggest that, even in the strongly disordered chains with $\alpha \leq 0.6$, where ED studies of Sec. III reveal little (if any) sign of ergodicity, the resonant transitions missed by the SDRG eventually proliferate. In this subsection, we estimate the corresponding thermalization scale $L_{\text{erg}}(\alpha)$.

Given an SDRG tree state and a set of resonant transitions associated with it, one can identify a set of block spins that can be changed via at least one resonant process. We refer to those block spins as resonant, or unstable, ones. For a chain of L spins, there are $2L - 1$ nodes in the SDRG tree (L of them are leaves corresponding to the physical spins). At each stage of the SDRG procedure, some number L_{RG} of the block spins play the role of the physical spins of the system. For example, in the initial state of the SDRG, $L_{\text{RG}} = L$ and the SDRG spins are just the physical ones. The final stage of the SDRG corresponds to $L_{\text{RG}} = 1$ and the top node of the SDRG tree being the only remaining spin. The ratio L/L_{RG} is nothing but the average size of the spin clusters in the system.

At any given moment in the course of the SDRG, only the unstable block spins that are among the L_{RG} spins currently comprising the system are relevant for potential delocalization. The others either have not yet formed or have already been decimated by the SDRG; they are not expected to contribute directly to the physics at the current energy scale. It is thus natural to ask how the number and density of the resonant block spins evolve in the course of the SDRG.

This result is illustrated in Fig. 16, which shows the dependence of the number (top) and the density (bottom) of unstable block spins for two different values of α and several values of the physical chain length L . These quantities are plotted as a function of the running RG length L_{RG} , normalized by L . For not too small L_{RG}/L , we observe that, for a fixed disorder strength, the density of resonant spins exhibits a universal (L -independent) behavior, $\rho_{\text{res}}(L_{\text{RG}}/L, L) = \rho_{\text{res}}(L_{\text{RG}}/L)$. The density $\rho_{\text{res}}(L_{\text{RG}}/L)$ is higher at weaker disorder and also grows in the course of the SDRG. In contrast, at small L/L_{RG} (corresponding to the final stages of the SDRG in a finite chain), a rather pronounced dependence on L is observed.

Next, let us denote by $\rho_{\text{max}} \equiv \rho_{\text{max}}(\alpha, L)$ the maximum density of unstable spins developed during the SDRG process. Small ρ_{max} means that ρ_{res} remains small at all steps of the SDRG. We then expect the resonances to be of

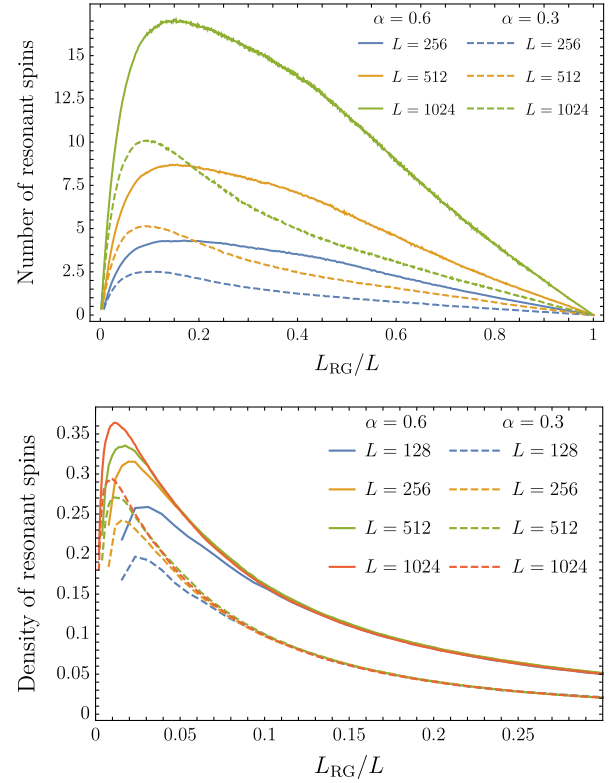


FIG. 16. Evolution of the number (top) and the density (bottom) of the resonant blocks spins in the course of the SDRG. The horizontal axes shows the ratio of the current system size to the initial length of the system.

little importance for our system. On the contrary, $\rho_{\text{max}} \sim 1$, indicates that at some stage the SDRG inevitably runs into a state where almost all spins participate in resonances. Then, the basic assumptions of SDRG are violated and we expect it to break down—this breakdown means that block spins are no longer well defined and start resonating. Presumably, this resonation signals the onset of ergodicity.

It is natural to assume that there exists a critical value $\rho_{\text{max},c} < 1$ at which the crossover between nonergodic (SDRG valid) and ergodic (breakdown of the SDRG) regimes occurs. We can then identify the length of the system for which $\rho_{\text{max}} = \rho_{\text{max},c}$, as the ergodicity scale:

$$\rho_{\text{max}}[\alpha, L_{\text{erg}}(\alpha)] = \rho_{\text{max},c}. \quad (20)$$

The scale $L_{\text{erg}}(\alpha)$ along with the typical matrix element for resonant transitions V_{typ} gives an estimate for the ergodicity time and energy scales:

$$\tau_{\text{erg}} = E_{\text{erg}}^{-1}, \quad E_{\text{erg}} = V_{\text{typ}}(L_{\text{erg}}). \quad (21)$$

Figure 17 shows the dependence of $\rho_{\text{max}}(L)$ for different disorder strengths. Estimating $L_{\text{erg}}(\alpha)$ requires fixing the critical density $\rho_{\text{max},c}$. While we have no general theory for $\rho_{\text{max},c}$, we observe (see Fig. 17) that the value

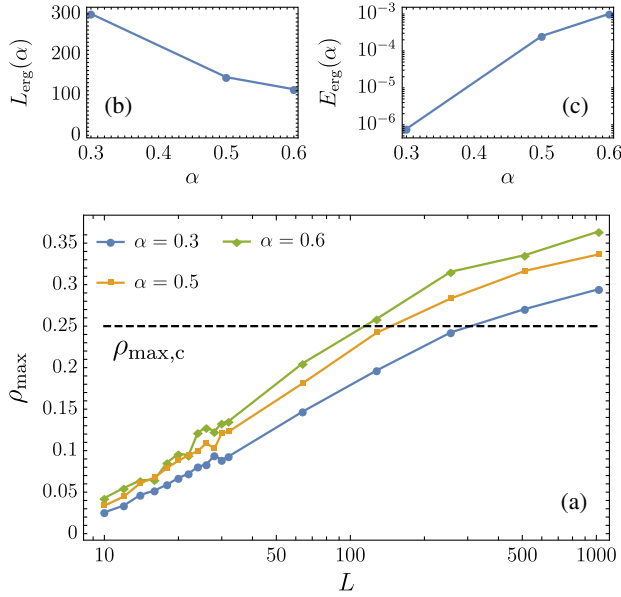


FIG. 17. (a) Maximum density $\rho_{\max}$ of the resonant spins developed in the course of the SDRG (cf. Fig. 16) as a function of the system size. The condition $\rho_{\max} \sim \rho_{\max,c}$ defines the ergodization length scale $L_{\text{erg}}(\alpha)$ and the corresponding energy scale $E_{\text{erg}}(\alpha) = V_{\text{typ}}[L_{\text{erg}}(\alpha)]$. (b), (c) Estimates for the ergodization length and energy scales obtained by fixing the critical density to $\rho_{\max,c} = 0.25$.

$\rho_{\max,c} \in [0.2, 0.25]$ (similar to the critical density of resonances in the random XXZ model) results in an estimate $50 \lesssim L_{\text{erg}}(\alpha = 0.6) \lesssim 100$ that is roughly consistent with the intuition developed in ED studies of Sec. III B, $L_{\text{erg}}(\alpha = 0.6) \sim 2L^*(\alpha = 0.6) \sim 50$. Thus, for the purpose of an estimate, we choose $\rho_{\max,c} = 0.25$. The resulting values for the length scale and energy scales at which thermalization starts to occur are shown in Fig. 17.

It is evident that, at strong disorder $\alpha = 0.3$, resonances start to proliferate only at very large length scales $L_{\text{erg}} \approx 300$, and, moreover, the corresponding timescales are extremely long. Such timescales are beyond the limitations of the synthetic platforms where ergodicity and its breakdown are actively investigated (see Ref. [28] for a review). Thus, in experiments, strongly disordered, $SU(2)$ -symmetric systems are expected to display the novel nonergodic regime described above.

Systems of size $L \gg L_{\text{erg}}$ are slowly thermalizing and presumably display slow diffusive transport at low frequencies. An interesting open question concerns the eventual fate of the integrals of motion obtained in the first steps of the SDRG (when the typical cluster size is much smaller than L_{erg}). Such nearly conserved operators arise due to strongly coupled cluster spins, and therefore destroying them would typically involve a relaxation process with a large energy scale ΔE . In very large systems, slow thermalizing processes eventually destroy the conservation of these operators. However, since thermalization processes typically occur on

a much smaller energy scale, $E_{\text{erg}} \ll \Delta E$, we expect that the decay time of such operators will be parametrically large in $\Delta E/E_{\text{erg}}$. An instructive example is that of a narrow-bandwidth thermal bath with energy scale E_0 ; there, the relaxation of excitations with energy $\omega \gg E_0$ is exponentially slow in ω/E_0 [67]. We expect that the integrals of motion obtained within the SDRG before its breakdown will be similarly long-lived (but we leave a detailed investigation of this issue for a followup work). Thus, we propose a picture that the dynamical properties of the strongly disordered Heisenberg chains are captured by the SDRG at frequencies $\omega \gtrsim E_{\text{erg}}$ (in particular, they have nontrivial noise properties, described in Ref. [41]). At lower frequencies, $\omega \lesssim E_{\text{erg}}$, a crossover to a diffusive behavior is expected.

V. CONCLUSIONS AND OUTLOOK

To sum up, the goal of this paper is to investigate the effects of continuous non-Abelian symmetries on dynamical properties of disordered systems. We have considered a concrete example of disordered Heisenberg spin chains, which are characterized by an $SU(2)$ symmetry. To describe the properties of this model, we combined state-of-the-art exact diagonalization studies with a new approach that allows us to include long-range resonances into the strong-disorder renormalization group.

We have found that, in a broad range of disorder strengths and system sizes, Heisenberg chains exhibit a new kind of nonergodic behavior. In this regime, the highly excited eigenstates have a scaling of the entanglement entropy that is intermediate between the area law characteristic of MBL states and the volume law found in thermalizing systems. This behavior stems from the tree tensor network structure of the eigenstates obtained within the SDRG. Simultaneously, in this regime the system exhibits a different kind of integrability, with integrals of motions having a varying degree of locality: Some of them act on a small number of neighboring spins, while others act on larger and larger spin clusters.

Furthermore, we found that, for weak disorder, the behavior crosses over from nonergodic to ergodic as the system size is increased. For stronger disorder, all system sizes accessible numerically exhibited nonergodic behavior. To address the eventual fate of the nonergodic phase in this case, we have extended the SDRG approach, characterizing resonances that endanger the stability of tree states. Our results strongly suggest eventual delocalization and ergodicity, albeit at very large system sizes; delocalization occurs via unconventional, multispin processes, which is yet another unique feature of disordered systems with non-Abelian symmetries. In future work, we plan to describe the transition between nonergodic and ergodic regimes as a function of the system size. A promising starting point seems to be to formulate an effective model in terms of

resonant d.o.f., with parameters extracted using the methods described above.

Another interesting direction is to better understand dynamical signatures of the new nonergodic regime uncovered here. One natural experiment would be to probe the dynamics of the most local integrals of motion (e.g., total spin of a pair of strongly coupled physical spins) and to observe that, for system sizes $L < L_{\text{erg}}$, it is conserved to a good precision and for arbitrarily large times. Another interesting open question concerns spin transport in N -species, disordered Hubbard models [21,43]. In case of flavor $SU(N=2)$ symmetry, our work suggests that a sufficiently large system should show thermalizing behavior. Further work is required to establish the details of the dynamics (e.g., diffusion vs subdiffusion).

More broadly, this work sets the stage for the future discovery of new nonergodic regimes and true dynamical phases that survive in the thermodynamic limit. The approach introduced here can be naturally extended to other symmetry groups, for example, $SU(N)$ spins. We expect that adapting our method to disordered systems with *discrete* non-Abelian symmetries should be possible as well, but it will require a modified renormalization scheme. Another possible application is to establish the fate of the transition between distinct MBL phases in systems with $\mathbb{Z}_2$ symmetry [36–38]. We leave studies of these questions for future work. Even more generally, it would be interesting to investigate the stability of other tree tensor network structures with intermediate entanglement scaling as possible good approximations of eigenstates in physical systems.

ACKNOWLEDGMENTS

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APPENDIX A: SDRG FOR HEISENBERG SPIN CHAINS

The SDRG procedure for Heisenberg spin chains is formulated and discussed comprehensively in a number of publications [41,46–48,50]. As is common for the RG studies, the aforementioned works focus on the flow of the system parameters under RG transformation and the consequences of this flow for the thermodynamic

properties of the system. The interpretation of the SDRG approach from the perspective of the many-body eigenstates (including highly excited ones) is put forward in Ref. [36]. In this Appendix, we briefly review the SDRG protocol for $SU(2)$ -symmetric Heisenberg spin chains with the emphasis on this latter aspect of the problem. We also discuss several subtle points of the procedure.

The SDRG protocol we design deals with the spin Hamiltonian of the form

$$H = \sum_i H_i, \quad H_i = J_i \mathbf{S}_i \cdot \mathbf{S}_{i+1}. \quad (\text{A1})$$

In the initial state of the SDRG, the spin operators $\mathbf{S}_i$ represent the elementary spins $1/2$ that constitute the system. The summation runs over nearest-neighbor links in a 1D lattice, $i = 1, \dots, L-1$. The SDRG procedure merges individual spins into clusters. Correspondingly, at later stages of the SDRG, $\mathbf{S}_i$ represent the total angular momentum of clusters of elementary spins (block spins in the terminology of Sec. II B). The corresponding quantum number S_i can take arbitrary integer or half-integer values limited from above by half of the size of the cluster.

The eigenstates and eigenvalues of each of the “link” Hamiltonians H_i are completely fixed by symmetry. Its spectrum consists of $n_i \equiv 2 \min(S_i, S_i + 1) + 1$ levels with energies

$$E_{i,\tilde{S}_i} = \frac{J_i}{2} (|\tilde{\mathbf{S}}_i|^2 - |\mathbf{S}_i|^2 - |\mathbf{S}_{i+1}|^2), \quad (\text{A2})$$

where by $|\mathbf{S}|$ we denote the absolute value of the spin, $|\mathbf{S}| \equiv \sqrt{S(S+1)}$, and $\tilde{S}_i = |S_i - S_{i+1}|, |S_i - S_{i+1}| + 1, \dots, S_i + S_{i+1}$ stands for the total spin of the cluster formed by the spins $\mathbf{S}_i$ and $\mathbf{S}_{i+1}$.

Every link i in the system is thus associated with a set of energy gaps in the link Hamiltonian H_i :

$$\Delta_{i,\tilde{S}_i}^{\pm} = |E_{i,\tilde{S}_i} - E_{i,\tilde{S}_i \pm 1}|, \quad |S_i - S_{i+1}| \leq \tilde{S}_i \leq S_i + S_{i+1}. \quad (\text{A3})$$

The gaps $\Delta_{i,\tilde{S}_i}^{\pm}$ have the physical meaning of the precession frequencies for the vector $\mathbf{S}_i - \mathbf{S}_{i+1}$ in the state of the i th link characterized by the total spin $\tilde{S}_i$.

The SDRG procedure aims to eliminate from the system the fastest d.o.f. Therefore [41], it looks for the link i_0 with maximal value of $\min_{\pm} \Delta_{i,\tilde{S}_i}^{\pm}$ and approximates the state of the link i_0 by the one with definite total spin $\tilde{S}_{i_0}$. Thereby, we eliminate from the consideration the rapidly oscillating vector $\mathbf{S}_{i_0} - \mathbf{S}_{i_0+1}$.

Note a subtle point here: At any stage of the SDRG, each link in the system is generically characterized by a set of $n_i - 1 > 1$ energy gaps, and the judgement on which link represents the strongest-coupled subsystem requires

a *guess* about the total spin $\tilde{S}_i$ associated to each link. Our present situation is to be compared with the SDRG for the ground state of the Heisenberg spin chains [50] or the SDRG for the highly excited states of less symmetric systems [36]. In both these cases, the relevant energy gap for each of the links is uniquely defined either as the gap between the ground state and the first excited state of the system or just due to the fact that each link is associated with a two-dimensional Hilbert space and is characterized by a single energy gap to begin with. This fact allows one, in particular, to apply the SDRG for the construction of a full basis of (approximate) eigenstates in, e.g., the generalized quantum Ising model of Ref. [36].

The dependence of the definition of the strongest-coupled subsystem of the spins $\tilde{S}_i$ makes it difficult to generate the full set of eigenstates by the SDRG procedure [68]. We do not attempt to solve this problem here. Instead, in our numerical analysis, we resort to the probabilistic sampling of the SDRG tree states in the middle of the many-body band. To this end, we argue that in the infinite-temperature ensemble the probability for a couple of spins to have the total spin S is dominated by the entropic factor $(2S+1)$. Therefore, starting from the initial SDRG state with S_i representing the elementary spins for each link in the system, we generate randomly $\tilde{S}_i$ with probability $p(\tilde{S}_i) \propto (2\tilde{S}_i+1)$. We then use Eqs. (A2) and (A3) to find the gaps associated to the links and identify the link i_0 to be removed by the SDRG. The link i_0 is removed, and the pair of spins $\mathbf{S}_{i_0}$ and $\mathbf{S}_{i_0+1}$ is replaced by a new spin $\tilde{\mathbf{S}}_{i_0}$ (see Fig. 18). Before the removal, the spins $\mathbf{S}_{i_0}$ and $\mathbf{S}_{i_0+1}$ have spins $\tilde{S}_{i_0-1}$ and $\tilde{S}_{i_0+1}$ as their left and right nearest neighbors, respectively. The corresponding links i_0-1 and i_0+1 have the spins $\tilde{S}_{i_{\max}-1}$ and $\tilde{S}_{i_{\max}+1}$ associated to them. After the removal of the link i_0 , the spins $\mathbf{S}_{i_0-1}$ and $\mathbf{S}_{i_0+1}$ become the neighbors of the spin $\tilde{\mathbf{S}}_{i_0}$. We check at this point if the values of $\tilde{S}_{i_0-1}$ and $\tilde{S}_{i_0+1}$ are still consistent with the rules of the angular momentum addition for the new spin configuration. If this is the case, we keep them as the spin values associated to the newly created links (see Fig. 18).

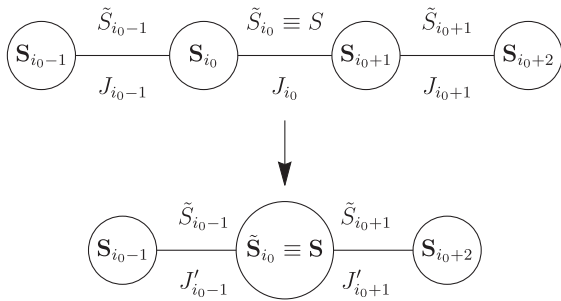


FIG. 18. Transformation of spins under the SDRG. i_0 denotes the strongest links to be removed. After the SDRG transformation, the spins $\tilde{S}_{i_0-1}$ and $\tilde{S}_{i_0+1}$ are regenerated iff they are no longer consistent with the rules of angular momentum addition.

Otherwise, the newly created links receive new randomly generated values of the associated spins.

An important ingredient of the SDRG procedure is the renormalization of spin-spin couplings. In the zeroth order of the perturbation theory, the two strongly interacting spins $\mathbf{S}_{i_0}$ and $\mathbf{S}_{i_0+1}$ are treated as decoupled from the rest of the system. To establish the coupling of the newly created block spin $\tilde{\mathbf{S}}_{i_0}$ to the outside world, one needs to take into account the higher-order terms of the perturbation theory in the interactions J_{i_0-1} and J_{i_0+1} (see Fig. 18). Specifically, we consider the 4-spin Hamiltonian

$$H = J_{i_0-1} \mathbf{S}_{i_0-1} \cdot \mathbf{S}_{i_0} + J_{i_0} \mathbf{S}_{i_0} \cdot \mathbf{S}_{i_0+1} + J_{i_0+1} \mathbf{S}_{i_0+1} \cdot \mathbf{S}_{i_0+2} \quad (\text{A4})$$

and integrate out fast fluctuations of $\mathbf{S}_{i_0} - \mathbf{S}_{i_0+1}$. In a generic case, the first-order treatment suffices, and we end up with the effective Hamiltonian after an SDRG step:

$$H_{\text{eff}}^{(1)} = J'_{i_0-1} \mathbf{S}_{i_0-1} \cdot \mathbf{S} + J'_{i_0+1} \mathbf{S} \cdot \mathbf{S}_{i_0+2}, \quad (\text{A5})$$

$$J'_{i_0\pm 1} = \frac{J_{i_0-1}(|\mathbf{S}|^2 \mp v)}{2|\mathbf{S}|^2}. \quad (\text{A6})$$

Here and below, to simplify our notations, we denote the spin $\tilde{\mathbf{S}}_{i_0}$ simply by $\mathbf{S}$; the shorthand notation v stands for

$$v = |\mathbf{S}_{i_0}|^2 - |\mathbf{S}_{i_0+1}|^2. \quad (\text{A7})$$

On going over from the Hamiltonian (A4) to the Hamiltonian (A6), we simply project the spin vectors $\mathbf{S}_{i_0}$ and $\mathbf{S}_{i_0+1}$ on the direction of the (approximately) conserved spin $\mathbf{S}$. Such an approximation is not sufficient, however, if the spins $\mathbf{S}_{i_0}$, $\mathbf{S}_{i_0+1}$ and $\mathbf{S}$ form a “quantum Pythagorean triangle,” i.e., satisfy one of the two conditions

$$|\mathbf{S}|^2 \pm (|\mathbf{S}_{i_0}|^2 - |\mathbf{S}_{i_0+1}|^2) = 0. \quad (\text{A8})$$

One of the couplings $J'_{i_0\pm 1}$ turns then to zero cutting the chain into two independent pieces, and the perturbation theory should be developed further.

A particular case of Eq. (A8) is the singlet formation: $S = 0$, $S_{i_0} = S_{i_0+1}$. In that situation, the second-order perturbative Hamiltonian takes the form [50]

$$H_{\text{eff}}^{(2)} = \tilde{J} \mathbf{S}_{i_0-1} \cdot \mathbf{S}_{i_0+2}, \quad (\text{A9})$$

$$\tilde{J} = \frac{2J_{i_0-1}J_{i_0+1}}{3J_{i_0}} |\mathbf{S}_{i_0-1}|^2. \quad (\text{A10})$$

Note that the singlet formation is the only instance of Eq. (A8) relevant in the context of the SDRG near the ground state.

In a more general case of $S \neq 0$, straightforward but lengthy algebra leads to

$$H_{\text{eff}}^{(2)} = \frac{g_{\alpha\beta}}{4J_0} (J_{i_0+2} \mathbf{S}_{i_0+2}^\alpha - J_{i_0-1} \mathbf{S}_{i_0-1}^\alpha) \times (J_{i_0+2} \mathbf{S}_{i_0+2}^\beta - J_{i_0-1} \mathbf{S}_{i_0-1}^\beta), \quad (\text{A11})$$

where [69]

$$g_{\alpha\beta} = \frac{1}{4|\mathbf{S}|^2 - 3} \left\{ \left[2u + |\mathbf{S}|^2 - \frac{3v^2}{|\mathbf{S}|^2} \right] \delta_{\alpha\beta} - i \left[-4u - 3 + 2|\mathbf{S}|^2 + \frac{v^2}{|\mathbf{S}|^4} (3 + 2|\mathbf{S}|^2) \right] \epsilon_{\alpha\beta\gamma} \mathbf{S}^\gamma + \left[-6u - 3 + |\mathbf{S}|^2 + \frac{v^2}{|\mathbf{S}|^4} (5|\mathbf{S}|^2 + 3) \right] \frac{\mathbf{S}^\alpha \mathbf{S}^\beta}{|\mathbf{S}|^2} \right\}. \quad (\text{A12})$$

Here, we denote by $\epsilon_{\alpha\beta\gamma}$ the Levi-Civita tensor and

$$u = |\mathbf{S}_{i_0-1}|^2 + |\mathbf{S}_{i_0+2}|^2. \quad (\text{A13})$$

Note that, while the explicit expression for $g_{\alpha\beta}$ is complicated, its tensor structure is fully determined by the $SU(2)$ symmetry.

Equation (A11) shows that in the general case of $S \neq 0$ the form of the Hamiltonian (A1) is not preserved under the SDRG transformation if the second-order terms are taken into account. We argue, however, that, while being of central importance for the SDRG flow for the ground states of antiferromagnetic chains, the second-order renormalizations play only a minor role for the physics at an infinite temperature. The reason for this result is the growth of spins under the SDRG transformation and the fact that in the set of all triples (S, S_{i_0}, S_{i_0+1}) the ‘‘Pythagorean’’ ones have measure zero. Of course, in the spin chains consisting of spins 1/2 that we consider in the present work, the application of the second-order perturbation theory is quite frequent in the early stages of the SDRG, since about 1/4 of the microscopic spins may fuse into singlets. However, as mentioned above, the second-order treatment is controlled in this case. In contrast, the nontrivial Pythagorean triples (S, S_{i_0}, S_{i_0+1}) with $S \neq 0$ appear only at the later stages of the SDRG when the typical spins are already large; such triples are extremely rare. Numerically, we find 2–5 occurrences of nontrivial Pythagorean triples in a typical SDRG tree state for a system of 10^4 spins.

Correspondingly, instead of treating Eq. (A11) in its full form, we apply to it several (generically uncontrolled) approximations. First, we focus on the coupling of spin $\mathbf{S}$ to that of the spins $\mathbf{S}_{i_0-1}$ and $\mathbf{S}_{i_0+2}$ for which the corresponding first-order coupling in Eq. (A6) vanishes. For example, in the case of the plus sign in Eq. (A8), leading to the vanishing of J'_{i_0-1} in Eq. (A6), we make a replacement

$$H_{\text{eff}}^{(2)} \rightarrow \frac{J_{i_0-1}^2 g_{\alpha\beta}}{4J_0} \mathbf{S}_{i_0-1}^\alpha \mathbf{S}_{i_0-1}^\beta. \quad (\text{A14})$$

Explicitly, using Eq. (A12) (and taking into account that $v = S^2$ in the present case), we find

$$H_{\text{eff}}^{(2)} \rightarrow \frac{J_{i_0-1}^2 (u - |\mathbf{S}|^2)}{2J_0 (4|\mathbf{S}|^2 - 3)} \times \left[|\mathbf{S}_{i_0-1}|^2 - 2(\mathbf{S} \cdot \mathbf{S}_{i_0-1}) - \frac{3(\mathbf{S} \cdot \mathbf{S}_{i_0-1})^2}{|\mathbf{S}|^2} \right]. \quad (\text{A15})$$

Finally, we use the *expected* value of the spin $\tilde{S}_{i_0-1}$ (see Fig. 18) to estimate the last term in Eq. (A15) in a kind of mean-field approximation according to

$$(\mathbf{S} \cdot \mathbf{S}_{i_0-1})^2 \rightarrow \frac{1}{2} \mathbf{S} \cdot \mathbf{S}_{i_0-1} [|\tilde{\mathbf{S}}_{i_0-1}|^2 - |\mathbf{S}|^2 - |\mathbf{S}_{i_0-1}|^2]. \quad (\text{A16})$$

After the manipulations outlined above, the Hamiltonian $H_{\text{eff}}^{(2)}$ reduces back to the Heisenberg model expected by the SDRG. We stress that, despite being uncontrolled, the approximations we employ are expected to produce a correct order-of-magnitude estimate for the coupling of spins $\mathbf{S}_{i_0-1}$ and $\mathbf{S}$ (that vanishes in the first-order perturbation theory). This estimate should be enough to capture the physics at an infinite temperature; see the discussion after Eq. (A13).

Before closing this section, let us stress once again that in the present work we are primarily interested in the properties of wave functions generated by the SDRG: SDRG tree states. Each tree generated by the SDRG describes the way the elementary spins in the system fuse to organize an approximate eigenstate of the Hamiltonian [or rather an $SU(2)$ multiplet thereof]. Given an SDRG tree, one can use the Clebsch-Gordan coefficients to write down the corresponding wave function in terms of the elementary spin d.o.f. We illustrate this process for the two SDRG trees shown in Fig. 19.

In a system of two spins $\mathbf{S}_1$ and $\mathbf{S}_2$, a wave function with total spin S_0 and the z projection of the total spin M_0 ,

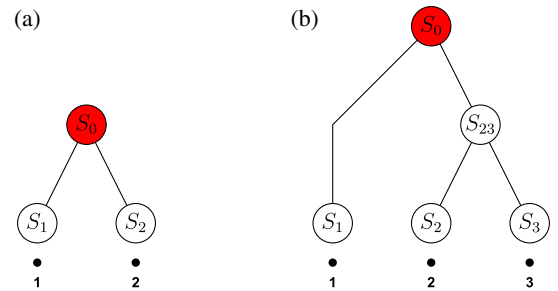


FIG. 19. SDRG trees representing states for a system of two (a) and three (b) spins. Explicit wave functions corresponding to these trees are given by Eqs. (A17) and (A18).

and right cluster by $S_{\mathcal{L}}$ and $S_{\mathcal{R}}$, respectively. The density matrix $\rho \equiv \rho_{L/2}$ depends on the total spin S and its projection M in the state $|\Psi\rangle$. It is of dimension $(2S_{\mathcal{L}} + 1)$ and can be written explicitly in terms of Clebsch-Gordan coefficients C :

$$\begin{aligned} \rho_{M_{\mathcal{L}}M'_{\mathcal{L}}} &= \sum_{M_{\mathcal{R}}} C(S, M; S_{\mathcal{L}}, M_{\mathcal{L}}, S_{\mathcal{R}}, M_{\mathcal{R}}) \\ &\times C(S, M; S_{\mathcal{L}}, M'_{\mathcal{L}}, S_{\mathcal{R}}, M_{\mathcal{R}}) \\ &- S_{\mathcal{L}} \leq M_{\mathcal{L}}, M'_{\mathcal{L}} \leq S_{\mathcal{L}}. \end{aligned} \quad (\text{B5})$$

The conservation of the projection of the angular momentum forces then the density matrix to be diagonal:

$$\rho_{M_{\mathcal{L}}M'_{\mathcal{L}}} = \rho_{M_{\mathcal{L}}} \delta_{M_{\mathcal{L}}M'_{\mathcal{L}}}, \quad (\text{B6})$$

where

$$\rho_{M_{\mathcal{L}}} = C^2(S, M; S_{\mathcal{L}}, M_{\mathcal{L}}, S_{\mathcal{R}}, M - M_{\mathcal{L}}). \quad (\text{B7})$$

A particularly simple case is that of $S = 0$ (which implies $S_{\mathcal{L}} = S_{\mathcal{R}}$ and $M = 0$), where Eq. (B7) reduces to

$$\rho_{M_{\mathcal{L}}} = \frac{1}{2S_{\mathcal{L}} + 1} \quad (\text{B8})$$

and gives the entanglement entropy

$$S_{\text{ent}}(L/2) = \log_2(2S_{\mathcal{L}} + 1). \quad (\text{B9})$$

For a typical tree state, $S_{\mathcal{L}} \propto \sqrt{L}$ and the entanglement entropy

$$S_{\text{ent}}(L/2) \propto \frac{1}{2} \log_2 L \quad (\text{B10})$$

in agreement with Ref. [40].

While we have no proof of the logarithmic scaling of entanglement for arbitrary values of S , M , $S_{\mathcal{L}}$, and $S_{\mathcal{R}}$ in Eq. (B7) (and this scaling certainly does *not* hold in some specific cases, e.g., $S = S_{\mathcal{L}} + S_{\mathcal{R}}$, $M = S$), we expect that the lower bound on entanglement

$$S_{\text{ent}}(L/2) \gtrsim c \log_2 L \quad (\text{B11})$$

stated in Eq. (7) in the main text remains correct for the typical tree states.

The upper bound on the entanglement entropy [Eq. (B4)] can be easily generalized to the case when the state of interest is not a single tree state but a superposition of a finite number thereof, n_T . The rank of the density matrix in this case is limited by [cf. Eq. (B2)]

$$\text{rank}(\rho_{L/2}) \leq n_T L^d, \quad (\text{B12})$$

and the entanglement entropy satisfies

$$S_{\text{ent}}(L/2) < c \log_2^2 L + \log_2 n_T. \quad (\text{B13})$$

We conclude that the entanglement entropy grows logarithmically with the number of tree states involved and of the order of $2^{L/2}$ of them are required to recover the volume-law scaling of ergodic eigenstates.

APPENDIX C: SEARCHING FOR RESONANCES

In this Appendix, we briefly review our numerical procedure for searching resonances.

Let us consider a tree state $|\Psi_{\text{RG}}^0\rangle$ generated by the SDRG. Fixing the tree geometry but allowing the values of the block spins in the nonleaf nodes of the tree to take arbitrary values consistent with the rules of the angular momentum addition provides us with the basis in the Hilbert space. In Sec. III A, such a basis is denoted by $|\Psi_{\text{RG}}^a\rangle$ (with $a = 1, \dots, D_{S_0, L}$). We are interested in the matrix elements of the Hamiltonian H_{0a} between the original RG state $|\Psi_{\text{RG}}^0\rangle$ and other members of the basis. Of particular importance for us are the resonant situations when $|H_{0a}| > |H_{aa} - H_{00}|$.

The dimension of the Hilbert space $D_{S_0, L}$ scales exponentially with the length L . Fortunately, most of the matrix elements of the Hamiltonian are, in fact, identically zero due to the $SU(2)$ symmetry. For an arbitrary pair of spins i and j , the operator $\mathbf{S}_i \cdot \mathbf{S}_j$ acting on $|\Psi_{\text{RG}}^0\rangle$ can change only those block spins that lie on the path in the tree connecting the spins i and j . Moreover, the selection rules analogous to the ones in the optics limit the possible change in each block spin S to $\Delta S = \pm 1$ or 0. In addition, $\Delta S = 0$ is forbidden in the case of $S = 0$.

Using this selection rule together with the fact that the Hamiltonian is just a linear combination of operators $\mathbf{S}_i \cdot \mathbf{S}_{i+1}$, we are able to count and index all the states $|\Psi_{\text{RG}}^a\rangle$ such that $H_{a0} \neq 0$ (we call them the neighbors of the state $|\Psi_{\text{RG}}^0\rangle$) without actually generating them. We denote by K the number of available neighbors.

We then start the random search of resonances among the neighbors. To pick a random neighbor, we generate a random integer from an interval $[1, K]$ and recompute the corresponding neighbor. We then evaluate the matrix element H_{0a} and the energy difference $H_{aa} - H_{00}$ [70]. If the resonance condition is met, we record the information about the resonant neighbor. The random search runs over some number n_{samp} of neighbors. Depending on the size of the system, n_{samp} can reach values up to 2×10^7 . Given the number n_{res} discovered during the random sampling, we estimate the total number of resonant neighbors of the given SDRG state by

$$K_{\text{res}} = \frac{n_{\text{res}}}{n_{\text{samp}}} K. \quad (\text{C1})$$

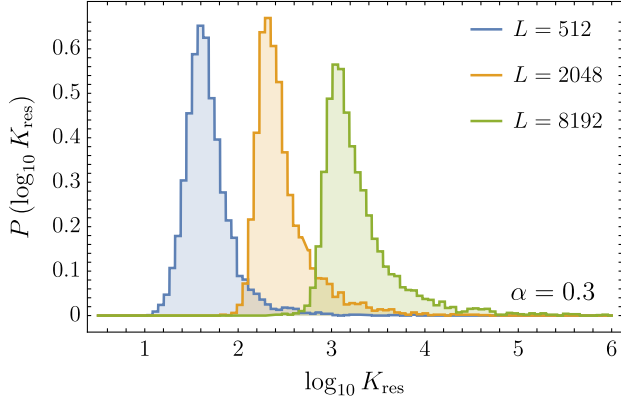


FIG. 21. The probability distribution $P(\log_{10} K_{\text{res}})$ at $\alpha = 0.3$.

Note that for system sizes $L < 2000$ the sampling number n_{samp} actually *exceeds* the total number of available neighbors K so that the random sampling could be replaced by an exhaustive search. For larger system sizes, an exhaustive search becomes, however, unfeasible.

We repeat the procedure outlined above for 5000 disorder realizations, generating for each disorder realization a single SDRG state. In long systems, K_{res} (as well as K) fluctuates strongly from sample to sample. The $\ln K_{\text{res}}$ develops, however, a well-behaved distribution exemplified in Fig. 21. Therefore, in the main text we characterize the proliferation of resonances in long systems by the typical value of K_{res} , $e^{\langle \ln K_{\text{res}} \rangle}$, whose dependence on the size of the system is discussed in Sec. IV C.

APPENDIX D: STATISTICAL PROPERTIES OF SDRG TREES

In this Appendix, we study the properties of *random* SDRG trees. These are obtained by randomly picking spins to fuse together, retaining only their spatial arrangement, ignoring the values of the (bare or renormalized) J 's. This simplification allows us to get some analytical results.

1. Distribution of nearest-neighbor graph distances

We want to prove the claim (16) in the main text,

$$P(l) = \frac{3}{4} \left(\frac{2}{3} \right)^l, \quad \text{for } L \rightarrow \infty, \quad (\text{D1})$$

where $P(l)$ is the distribution of the random variable $l_{i,i+1}$, namely, the graph distance of two neighboring spins in a generic SDRG tree.

To this end, we consider the ensemble of trees constructed by taking a chain of L spins and fusing them all together, two neighbors at a time. After each fusion, the chain effectively shrinks by one site, and the neighbor structure gets updated accordingly. This process is an approximation of the SDRG procedure where we completely neglect the detailed structure of the J couplings.

More precisely, let a tree be described by a sequence of fusions $(i_1, \dots, i_{L-1})$, where i_k means that we are fusing, at the k th step, the pair $(i_k, i_k + 1)$ (with periodic boundary conditions). In order to emulate the SDRG algorithm, we sample the sequence of fusions uniformly randomly among the $L!$ possible $(L-1)$ permutations of $(1, \dots, L)$, which results in a *biased* distribution on the set of all binary trees, with “taller” trees being less likely.

Now take a generic pair $(i, i+1)$ in a given tree, and suppose that their common block spin descendant is created at the $(k+1)$ th step of the tree construction. All fusions taking place after that step are irrelevant for determining $l_{i,i+1}$, whereas each of the k previous ones may contribute either 0 or 1 to such a distance. In fact, the distance contributed by the j th fusion is a Bernoulli random variable with success probability $p_j = \frac{2}{L-j}$, because the distance between i and $(i+1)$ increases only if either one of their descendants is picked out of the $L-j$ possible spins at that step. Moreover, the contributions are uncorrelated, since all free indices are sampled with equal probability regardless of the previous history of the tree construction.

Therefore, we have

$$l_{i,i+1} = l^{(k)} = 2 + x_1 + x_2 + \dots + x_k, \quad (\text{D2})$$

where

$$x_j = \begin{cases} 1 & \text{with probability } p_j = \frac{2}{L-j} \\ 0 & \text{with probability } 1 - p_j \end{cases} \quad (\text{D3})$$

and the (k) superscript serves as a reminder that our random variable is now being conditioned on k .

Let us compute the cumulant generating function for $l^{(k)} - 2$:

$$\langle e^{-s(l^{(k)}-2)} \rangle = \prod_{j=1}^k (1 - p_j + p_j e^{-s}), \quad (\text{D4})$$

the logarithm of which is

$$\ln \langle e^{-s(l^{(k)}-2)} \rangle = \sum_{j=1}^k \ln \left(1 + \frac{1}{L-j} \frac{2}{L-j} (e^{-s} - 1) \right). \quad (\text{D5})$$

By defining $x = j/L$ and $\alpha = k/L$ and taking $L \rightarrow \infty$, we have

$$\begin{aligned} \ln \langle e^{-s(l^{(k)}-2)} \rangle &\sim \int_0^\alpha dx \frac{2}{1-x} (e^{-s} - 1) \\ &= 2(1 - e^{-s}) \ln(1 - \alpha) \end{aligned} \quad (\text{D6})$$

up to $O(1/L)$ terms.

Now notice that $(k+1)$, in our ensemble, is uniformly distributed between 1 and $L-1$, as it corresponds to the

position of index i in the tuple $(i_1, \dots, i_{L-1})$. We can then get rid of the k conditioning by averaging over $\alpha \in [0, 1]$. This averaging gives

$$\begin{aligned} \langle e^{-s(l-2)} \rangle &= \int_0^1 d\alpha (1-\alpha)^{2(1-e^{-s})} \\ &= \frac{1}{3-2e^{-s}}, \end{aligned} \quad (\text{D7})$$

and, by expanding the denominator in a geometric series, we get

$$\langle e^{-sl} \rangle = \frac{1}{3} \left[e^{-2s} + \frac{2}{3} e^{-3s} + \left(\frac{2}{3} \right)^2 e^{-4s} + \dots \right]. \quad (\text{D8})$$

Inverting the Laplace transform results in Eq. (16).

2. Size of the block spins

We now set out to determine the average size of the support of a randomly chosen block spin operator for a random SDRG tree state, which amounts to estimating the number of leaves which connect to a node picked uniformly randomly from the set of nonleaf nodes in a generic fusion tree.

To this end, it is convenient to introduce an alternative (but equivalent) construction for our random ensemble. Consider a single node, and start by attaching two children nodes to it, one to the left and one to the right. We can see this step as a “splitting” for the original node. Now pick with equal probability either one of the resulting leaves and perform the same kind of splitting. Iterate the procedure for a total of $(L-1)$ times, such that the final number of leaves is L . The leaves are spatially ordered by the order relation induced in an obvious way by the distinction of left and right children. In this way, we obtain a binary tree whose geometry is compatible with an SDRG tree. We can call this tree the “fission tree” ensemble.

We are now going to prove by induction that the fission tree and fusion tree ensembles are equivalent [71].

Suppose that the above claim holds after the $(k-1)$ th splitting, that is to say, for the ensembles of k -leaved fission and fusion trees. Now, when constructing a *fusion* tree on $(k+1)$ leaves, after the first fusion we are left with an effective k -leaved tree. In order to prove the claim, it is then enough to show that the first fusion does not spoil the ensemble equivalence. By definition of the fusion tree ensemble, it is the case that each one of the initial $(k+1)$ leaf pairs has the same probability of being fused at the first step, which means that every one of the k effective leaves after the first step has the same likelihood of being the one resulting from the fusion. Therefore, upon reversing the “time direction,” we see that if we allow all the k leaves to split with the same probability, both fission and fusion trees on $(k+1)$ leaves are sampled with the same distribution, and the inductive step is completed. It also holds trivially

that the two ensembles coincide when $k=1$, providing the basis of the induction.

In light of this result, it is possible to assign to each node of a tree the step at which it is split. For instance, the root is always labeled by 1, and the maximum label is $L-1$ (note that, similarly to the case of the “fusion labeling” in Appendix D 1, this labeling is not uniquely defined). Now fix $k \in \{1, \dots, L-1\}$ and consider the node labeled by k . Introduce the variable t to measure the number of fissions occurring after the k th one, $t \in \{0, \dots, L-k-1\}$, and call $N(t)$ the total number of leaves which affect the state of the initial node at “time” t . Since every fission can increment N by 1 at time $(t+1)$ only if one of the $N(t)$ leaves is picked for the fission, we have the stochastic recursion equation

$$N^{(k)}(t+1) = N^{(k)}(t) + B[p^{(k)}(t)], \quad (\text{D9})$$

where $B[p]$ is a Bernoulli variable with success probability p and $p^{(k)}(t) = \{[N(t)]/(k+t+1)\}$. The initial condition must be set to $N^{(k)}(0) = 2$.

This equation is hard to treat due to the $N(t)$ dependence hidden inside $p^{(k)}(t)$, but it is linear and therefore easily solved in the expectation values:

$$\overline{N^{(k)}(t+1)} = \overline{N^{(k)}(t)} \left(1 + \frac{1}{k+t+1} \right), \quad (\text{D10})$$

where we use $\overline{B[p]} = p$. By iterating and simplifying the product on the right-hand side and then looking at the final time, we get

$$\overline{N^{(k)}} \equiv \overline{N^{(k)}(L-k-1)} = \frac{2L}{k+1}. \quad (\text{D11})$$

This result is the average number of ancestors of a node that is split at the k th fission step. In order to answer our initial question—what is the average number of ancestor elementary spins of a random nonleaf node—we simply take the average on all possible values of k . This process yields

$$\bar{N} = \frac{1}{L} \sum_{k=1}^{L-1} \overline{N^{(k)}} = 2(\log L + \gamma - 1) + O\left(\frac{1}{L}\right), \quad (\text{D12})$$

showing that the block spins have on average unbounded support in space.

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- [57] As most properties of the states comprising a multiplet are actually independent of the particular state and depend on the multiplet alone, throughout the manuscript we often refer to the SDRG trees as specifying a single quantum state. One may assume, for example, that in each of the multiplets we focus on a state with the z projection of the total spin 0 ($1/2$) if the length of the system is even (odd).
- [58] The upper bound on the entanglement entropy in Eq. (7) holds, in fact, for all the states described by trees of logarithmic depth; see Appendix B.
- [59] There are some additional restrictions. Specifically, the new set of block spins in the tree should still be consistent with the rules of angular momentum addition. In particular, all the new block spins should be non-negative. Moreover, in certain cases, the transitions with $\Delta S = 0$ are forbidden in full analogy with quantum optics, where the $\Delta l = 0$ transitions are forbidden for an initial (or final) state with an angular momentum $l = 0$; see, for details, Ref. [40] and Appendix A.
- [60] Explicit expressions for the matrix elements of the operators $S_i \cdot S_j$ are derived in Ref. [40].
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- [68] Of course, this procedure is still possible in *short* systems at sufficiently strong disorder where the fluctuations in the energy gaps from link to link are dominated by the fluctuations of couplings with the spin-dependent factor in Eq. (A2) providing only a numerical coefficient.
- [69] Dealing with the special case of $S = 1/2$ in Eq. (A12), one must take into account the fact that for spin-1/2 operators $S^\alpha S^\beta = \delta_{\alpha\beta}/4 + i\epsilon_{\alpha\beta\gamma} S^\gamma/2$. The singular denominator in Eq. (A12) is then canceled.
- [70] An efficient way to evaluate H_{ab} is discussed in Ref. [40].
- [71] The following proof is provided by user Misha Lavrov as part of a reply to a question on the math.stackexchange.com Web site. The authors acknowledge the contribution and thank StackExchange for providing a platform to discuss such topics.